glow Documentation

Glow Project

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Glow is an open-source toolkit for working with genomic data at biobank-scale and beyond. The toolkit is natively built on Apache Spark, the leading unified engine for big data processing and machine learning, enabling genomics workflows to scale to population levels.

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2 CONTENTS

CHAPTER

ONE

INTRODUCTION TO GLOW

Genomics data has been doubling every seven months globally. It has reached a scale where genomics has become a big data problem. However, most tools for working with genomics data run on single nodes and will not scale. Furthermore, it has become challenging for scientists to manage storage, analytics and sharing of public data.

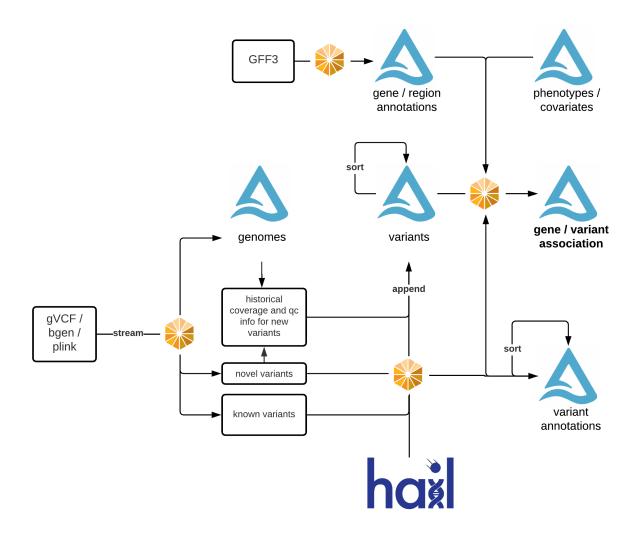
Glow solves these problems by bridging bioinformatics and the big data ecosystem. It enables bioinformaticians and computational biologists to leverage best practices used by data engineers and data scientists across industry.

Glow is built on Apache Spark and Delta Lake, enabling distributed computation on and distributed storage of genotype data. The library is backwards compatible with genomics file formats and bioinformatics tools developed in academia, enabling users to easily share data with collaborators.

When combined with Delta Lake, Glow solves the "n+1" problem in genomics, allowing continuous integration of and analytics on whole genomes without data freezes.

Glow is used to:

- Ingest genotype data into a data lake that acts as a single source of truth.
- Perform joint-genotyping of genotype data on top of delta-lake.
- Run quality control, statistical analysis, and association studies on population-scale datasets.
- Build reproducible, production-grade genomics data pipelines that will scale to tens of trillions of records.



Glow features:

- Genomic datasources: To read datasets in common file formats such as VCF, BGEN, and Plink into Spark DataFrames.
- Genomic functions: Common operations such as computing quality control statistics, running regression tests, and performing simple transformations are provided as Spark functions that can be called from Python, SQL, Scala, or R.
- Data preparation building blocks: Glow includes transformations such as variant normalization and lift over to help produce analysis ready datasets.
- Integration with existing tools: With Spark, you can write user-defined functions (UDFs) in Python, R, SQL, or Scala. Glow also makes it easy to run DataFrames through command line tools.
- Integration with other data types: Genomic data can generate additional insights when joined with data sets such
 as electronic health records, real world evidence, and medical images. Since Glow returns native Spark SQL
 DataFrames, its simple to join multiple data sets together.
- GloWGR, a distributed version of the regenie method, rewritten from the ground up in Python.

CHAPTER

TWO

GETTING STARTED

2.1 Running Locally

Glow requires Apache Spark 3.1.2.

Python

Scala

If you don't have a local Apache Spark installation, you can install it from PyPI:

```
pip install pyspark==3.1.2
```

or download a specific distribution.

Install the Python frontend from pip:

```
pip install glow.py
```

and then start the Spark shell with the Glow maven package:

```
./bin/pyspark --packages io.projectglow:glow-spark3_2.12:1.0.1 --conf spark.hadoop.io.

→compression.codecs=io.projectglow.sql.util.BGZFCodec
```

To start a Jupyter notebook instead of a shell:

```
PYSPARK_DRIVER_PYTHON=jupyter PYSPARK_DRIVER_PYTHON_OPTS=notebook ./bin/pyspark --

→packages io.projectglow:glow-spark3_2.12:1.0.1 --conf spark.hadoop.io.compression.

→codecs=io.projectglow.sql.util.BGZFCodec
```

And now your notebook is glowing! To access the Glow functions, you need to register them with the Spark session.

```
import glow
spark = glow.register(spark)
df = spark.read.format('vcf').load(path)
```

If you don't have a local Apache Spark installation, download a specific distribution.

Start the Spark shell with the Glow maven package:

```
./bin/spark-shell --packages io.projectglow:glow-spark3_2.12:1.0.1 --conf spark.hadoop.

→io.compression.codecs=io.projectglow.sql.util.BGZFCodec
```

To access the Glow functions, you need to register them with the Spark session.

```
import io.projectglow.Glow
val sess = Glow.register(spark)
val df = sess.read.format("vcf").load(path)
```

2.2 Notebooks embedded in the docs

To demonstrate use cases of Glow, documentation pages are accompanied by embedded notebooks. Most code in these notebooks can be run on Spark and Glow alone, but functions such as display() or dbutils() are only available on Databricks. See *Databricks notebooks* for more info.

Also note that the path to datasets used as example in these notebooks is usually a folder in /databricks-datasets/genomics/ and should be replaced with the appropriate path based on your own folder structure.

2.3 Getting started on Databricks

The Databricks documentation shows how to get started with Glow on **Amazon Web Services** (AWS - docs), **Microsoft Azure** (docs) and **Google Cloud Platform** (GCP - docs). This documentation includes,

- 1. cluster recommendations
- 2. how to install
 - Glow
 - Hail
 - The Variant Effect Predictor
 - · DeepNull
 - Deep Variant
- 3. how to develop automated production jobs

2.4 Getting started on other cloud services

Please submit a pull request to add a guide for other cloud services.

CHAPTER

THREE

VARIANT DATA MANIPULATION

Glow offers functionalities to extract, transform and load (ETL) genomic variant data into Spark DataFrames, enabling seamless manipulation, filtering, quality control and transformation between file formats.

3.1 Read and Write VCF, Plink, and BGEN with Spark

Glow makes it possible to read and write variant data at scale using Spark SQL.

Tip: This topic uses the terms "variant" or "variant data" to refer to single nucleotide variants and short indels.

3.1.1 VCF

You can use Spark to read VCF files just like any other file format that Spark supports through the DataFrame API using Python, R, Scala, or SQL.

The returned DataFrame has a schema that mirrors a single row of a VCF. Information that applies to an entire variant (SNV or indel), such as the contig name, start and end positions, and INFO attributes, is contained in columns of the DataFrame. The genotypes, which correspond to the GT FORMAT fields in a VCF, are contained in an array with one entry per sample. Each entry is a struct with fields that are described in the VCF header.

The path that you provide can be the location of a single file, a directory that contains VCF files, or a Hadoop glob pattern that identifies a group of files. Sample IDs are not included by default. See the parameters table below for instructions on how to include them.

You can control the behavior of the VCF reader with a few parameters. All parameters are case insensitive.

Param-	Туре	De-	Description
eter		fault	
includeS	amlpoble	Fa dts rue	If true, each genotype includes the name of the sample ID it belongs to. Sample names
			increase the size of each row, both in memory and on storage.
flattenI	n Бо Б і в	altudrsue	If true, each info field in the input VCF will be converted into a column in the output
			DataFrame with each column typed as specified in the VCF header. If false, all info fields
			will be contained in a single column with a string -> string map of info keys to values.
validati	grif Brao	i negjelne	Controls the behavior when parsing a malformed row. If silent, the row will be dropped
			silently. If lenient, the row will be dropped and a warning will be logged. If strict,
			an exception will be thrown and reading will fail.

Note: Starting from Glow 0.4.0, the splitToBiallelic option for the VCF reader no longer exists. To split multiallelic variants to biallelics use the *split_multiallelics* transformer after loading the VCF.

Note: Glow includes a VCF reader that uses htsjdk for initial parsing as well as a reader that parses VCF lines to Spark rows directly.

As of release 1.0.0, the direct reader is enabled by default. To use the htsjdk based reader, set the Spark config io. projectglow.vcf.fastReaderEnabled to false.

Important: The VCF reader uses the 0-start, half-open (zero-based) coordinate system. This means that the start values in the DataFrame will be 1 lower than the values that appear in the VCF file. For instance, if a variant has a POS value of 10 in a VCF file, the start column in the DataFrame will contain the value 9. When writing to a VCF file, Glow converts positions back to a 1-based coordinate system as required by the VCF specification.

You can save a DataFrame as a VCF file, which you can then read with other tools. To write a DataFrame as a single VCF file specify the format "bigvcf":

```
df.write.format("bigvcf").save(path)
```

The file extension of the output path determines which, if any, compression codec should be used. For instance, writing to a path such as /genomics/my_vcf.vcf.bgz will cause the output file to be block gzipped.

If you'd rather save a sharded VCF where each partition saves to a separate file:

```
df.write.format("vcf").save(path)
```

To control the behavior of the sharded VCF writer, you can provide the following option:

Pa-	Туре	De-	Description
ram-		fault	
eter			
compre	sstrong	n/a	A compression codec to use for the output VCF file. The value can be the full name of a
			compression codec class (for example GzipCodec) or a short alias (for example gzip). To
			use the block gzip codec, specify bgzf.

For both the single and sharded VCF writer, you can use the following options:

Parame-	Туре	De-	Description
ter		fault	
vcfHeader	string	infe	r If infer, infers the header from the DataFrame schema. This value can be a complete
			header starting with ## or a Hadoop filesystem path to a VCF file. The header from this
			file is used as the VCF header for each partition.
validatio	n Strinig	ngseinleg	n Controls the behavior when parsing a malformed row. If silent, the row will be dropped
			silently. If lenient, the row will be dropped and a warning will be logged. If strict,
			an exception will be thrown and writing will fail.

If the header is inferred from the DataFrame, the sample IDs are derived from the rows. If the sample IDs are missing, they will be represented as sample_n, for which n reflects the index of the sample in a row. In this case, there must be the same number of samples in each row.

- For the big VCF writer, the inferred sample IDs are the distinct set of all sample IDs from the DataFrame.
- For the sharded VCF writer, the sample IDs are inferred from the first row of each partition and must be the same for each row. If the rows do not contain the same samples, provide a complete header of a filesystem path to a VCF file.

3.1.2 **BGEN**

Glow provides the ability to read BGEN files, including those distributed by the UK Biobank project.

```
df = spark.read.format("bgen").load(path)
```

As with the VCF reader, the provided path can be a file, directory, or glob pattern. If .bgi index files are located in the same directory as the data files, the reader uses the indexes to more efficiently traverse the data files. Data files can be processed even if indexes do not exist. The schema of the resulting DataFrame matches that of the VCF reader.

Parameter	Type	De-	Description
		fault	
useBgenIndex	boolear	true	If true, use .bgi index files.
sampleFilePath	string	n/a	Path to a .sample Oxford sample information file containing sample IDs if
			not stored in the BGEN file.
sampleIdColumn	string	ID_2	Name of the column in the .sample file corresponding to the sample IDs.
emitHardCalls	boolear	true	If true, adds genotype calls for diploids based on the posterior probabilities.
hardCallThresh	.o d.d u-	0.9	Sets the threshold for hard calls.
	ble		

Important: The BGEN reader and writer assume that the first allele in the .bgen file is the reference allele, and that all following alleles are alternate alleles.

You can use the DataFrameWriter API to save a single BGEN file, which you can then read with other tools.

```
df.write.format("bigbgen").save(path)
```

If the genotype arrays are missing ploidy and/or phasing information, the BGEN writer infers the values using the provided values for ploidy, phasing, or posteriorProbabilities in the genotype arrays. You can provide the value for ploidy using an integer value ploidy or it can be inferred using the length of an array calls, and you can provide the phasing information using a boolean value phased.

To control the behavior of the BGEN writer, you can provide the following options:

Parameter	Туре	De-	Description
		fault	
bitsPerProbabi	lintye-	16	Number of bits used to represent each probability value. Must be 8, 16, or 32.
	ger		
maximumInferre	dPnitoci d	y 10	The maximum ploidy that will be inferred for unphased data if ploidy is missing.
	ger		
defaultInferre	dPnitoci d	y 2	The inferred ploidy if phasing and ploidy are missing, or ploidy is missing and
	ger		cannot be inferred from posteriorProbabilities.
defaultInferre	d Pokovoskeia	ngfalse	The inferred phasing if phasing is missing and cannot be inferred from
			posteriorProbabilities.

3.1.3 PLINK

Glow provides the ability to read binary PLINK binary PED (BED) files with accompanying BIM and FAM files. The provided path can be a file or glob pattern.

```
df = spark.read.format("plink").load("{prefix}.bed".format(prefix=prefix))
```

The schema of the resulting DataFrame matches that of the VCF reader. The accompanying variant and sample information files must be located at {prefix}.bim and {prefix}.fam.

Parameter	Type	De-	Description
		fault	·
includeSam	o lheoTodes	ntrue	If true, each genotype includes the name of the sample ID it belongs to.
bimDelimite	erstring	(tab)	Whitespace delimiter in the {prefix}.bim file.
famDelimit	erstring	(space	Whitespace delimiter in the {prefix}.fam file.
mergeFidIi	d boolea	ntrue	If true, sets the sample ID to the family ID and individual ID merged with an under-
			score delimiter. If false, sets the sample ID to the individual ID.

Important: The PLINK reader sets the first allele in the .bed file as the alternate allele, and the second allele as the reference allele.

Notebook

<div class='embedded-notebook'> How
to run a notebook Get notebook
link <div class='embedded-notebook-container'> <div class='loading-spinner'></div> <iframe
src="../_static/notebooks/etl/variant-data.html" id='-5716882026470846532' height="1000px" width="100%"
style="overflow-y:hidden;" scrolling="no"></iframe> </div> </div>

3.2 Read Genome Annotations (GFF3) as a Spark DataFrame

GFF3 (Generic Feature Format Version 3) is a 9-column tab-separated text file format commonly used to store genomic annotations. Typically, the majority of annotation data in this format appears in the ninth column, called attributes, as a semi-colon-separated list of <tag>=<value> entries. If Spark's standard csv data source is used to read GFF3 files, the whole list of attribute tag-value pairs will be read as a single string-typed column, making queries on these tags/values cumbersome.

To address this issue, Glow provides the gff data source. In addition to loading the first 8 columns of GFF3 as properly typed columns, the gff data source is able to parse all attribute tag-value pairs in the ninth column of GFF3 and create an appropriately typed column for each tag. In each row, the column corresponding to a tag will contain the tag's value in that row (or null if the tag does not appear in the row).

Like any Spark data source, reading GFF3 files using the gff data source can be done in a single line of code:

```
df = spark.read.format("gff").load(path)
```

The gff data source supports all compression formats supported by Spark's csv data source, including .gz and .bgz files. It also supports reading globs of files in one command.

Note: The gff data source ignores any comment and directive lines (lines starting with #) in the GFF3 file as well as any FASTA lines that may appear at the end of the file.

3.2.1 Schema

1. Inferred schema

If no user-specified schema is provided (as in the example above), the data source infers the schema as follows:

• The first 8 fields of the schema ("base" fields) correspond to the first 8 columns of the GFF3 file. Their names, types and order will be as shown below:

```
|-- seqId: string (nullable = true)
|-- source: string (nullable = true)
|-- type: string (nullable = true)
|-- start: long (nullable = true)
|-- end: long (nullable = true)
|-- score: double (nullable = true)
|-- strand: string (nullable = true)
|-- phase: integer (nullable = true)
```

Note: Although the start column in the GFF3 file is 1-based, the start field in the DataFrame will be 0-based to match the general practice in Glow.

• The next fields in the inferred schema will be created as the result of parsing the attributes column of the GFF3 file. Each tag will have its own field in the schema. Fields corresponding to any "official" tag (those referred to as tags with pre-defined meaning) come first, followed by fields corresponding to any other tag ("unofficial" tags) in alphabetical order.

The complete list of official fields, their data types, and order are as shown below:

The unofficial fields will be of string type.

Note:

- If any of official tags does not appear in any row of the GFF3 file, the corresponding field will be excluded from the inferred schema.
- The official/unofficial field name will be exactly as the corresponding tag appears in the GFF3 file (in terms
 of letter case).
- The parser is insensitive to the letter case of the tag, e.g., if the attributes column in the GFF3 file contains both note and Note tags, they will be both mapped to the same column in the DataFrame. The name of the column in this case will be either note or Note, chosen randomly.

2. User-specified schema

As with any Spark data source, the gff data source is also able to accept a user-specified schema through the .schema command. The user-specified schema can have any subset of the base, official, and unofficial fields. The data source is able to read only the specified base fields and parse out only the specified official and unofficial fields from the attributes column of the GFF3 file. Here is an example of how the user can specify some base, official, and unofficial fields while reading the GFF3 file:

(continues on next page)

(continued from previous page)

```
StructField('ID', StringType()),  # Official field
StructField('Dbxref', ArrayType(StringType())), # Official field
StructField('mol_type', StringType())]  # Unofficial field
)

df_user_specified = spark.read.format("gff").schema(mySchema).load(path)
```

Note:

- The base field names in the user-specified schema must match the names in the list above in a case-sensitive manner.
- The official and unofficial fields will be matched with their corresponding tags in the GFF3 file in a case-and-underscore-insensitive manner. For example, if the GFF3 file contains the official tag db_xref, a user-specified schema field with the name dbxref, Db_Xref, or any other case-and-underscore-insensitive match will correspond to that tag.
- The user can also include the original attributes column of the GFF3 file as a string field by including StructField('attributes', StringType()) in the schema.

Notebook

<div class='embedded-notebook'> How
to run a notebook Get notebook
link <div class='embedded-notebook-container'> <div class='loading-spinner'></div> <iframe
src="../_static/notebooks/etl/gff.html" id='5467474567222747284' height="1000px" width="100%" style="overflow-y:hidden;" scrolling="no"></iframe> </div> </div></ri>

3.3 Create a Genomics Delta Lake

Genomics data is usually stored in specialized flat-file formats such as VCF or BGEN.

The example below shows how to ingest a VCF into a genomics Delta Lake table using Glow in Python (R, Scala, and SQL are also supported).

You can use Delta tables for second-latency queries, performant range-joins (similar to the single-node bioinformatics tool bedtools intersect), aggregate analyses such as calculating summary statistics, machine learning or deep learning.

Tip: We recommend ingesting VCF files into Delta tables once volumes reach >1000 samples, >10 billion genotypes or >1 terabyte.

3.3.1 VCF to Delta Lake table notebook

<div class='embedded-notebook'> How
to run a notebook Get notebook
link <div class='embedded-notebook-container'> <div class='loading-spinner'></div> <iframe
src="../_static/notebooks/etl/vcf2delta.html" id='-208204316693365577' height="1000px" width="100%"
style="overflow-y:hidden;" scrolling="no"></iframe> </div> </div>

3.4 Variant Quality Control

Glow includes a variety of tools for variant quality control.

Tip: This topic uses the terms "variant" or "variant data" to refer to single nucleotide variants and short indels.

You can calculate quality control statistics on your variant data using Spark SQL functions, which can be expressed in Python, R, Scala, or SQL.

Function	Arguments	Return
hardy_weinberg	The genotypes array. This function assumes that the variant has been converted to a biallelic representation.	A struct with two elements: the expected heterozygous frequency according to Hardy-Weinberg equilibrium and the associated p-value.
call_summary_stats	The genotypes array	A struct containing the following summary stats: • callRate: The fraction of samples with a called genotype • nCalled: The number of samples with a called genotype • nUncalled: The number of samples with a missing or uncalled genotype, as represented by a '.' in a VCF or -1 in a DataFrame. • nHet: The number of heterozygous samples • nHomozygous: An array with the number of samples that are homozygous for each allele. The 0th element describes how many sample are hom-ref. • nNonRef: The number of samples that are not hom-ref • nAllelesCalled: An array with the number of times each allele was seen • alleleFrequencies: An array with the frequency for each allele
dp_summary_stats	The genotypes array	A struct containing the min, max, mean, and sample standard deviation for genotype depth (DP in VCF v4.2 specification) across all samples
gq_summary_stats	The genotypes array	A struct containing the min, max, mean, and sample standard deviation for genotype quality (GQ in VCF v4.2 specification) across all samples

3.4.1 Notebook

<div class='embedded-notebook'> How to run a notebook Get notebook link <div class='embedded-notebook-container'> <div class='loading-spinner'></div> <iframe src="../_static/notebooks/etl/variant-qc-demo.html" id='-6659832808385173211' height="1000px" width="100%" style="overflow-y:hidden;" scrolling="no"></iframe> </div> </div>

3.5 Sample Quality Control

You can calculate quality control statistics on your variant data using Spark SQL functions, which can be expressed in Python, R, Scala, or SQL.

Each of these functions returns an array of structs containing metrics for one sample. If sample ids are including in the input DataFrame, they will be propagated to the output. The functions assume that the genotypes in each row of the input DataFrame contain the same samples in the same order.

Functions	Arguments	Return
sample_call_summary_stats	referenceAllele string,	A struct containing the following
	alternateAlleles array of	summary stats:
sample_call_summary_stats	referenceAllele string,	
		heterozygous to homozy- gous variant calls (nHet / nHomVar)
sample_dp_summary_stats	genotypes array with a depth field	A struct with min, max, mean, and stddev
3.5am Sample Quality_Control	genotypes array with a conditionalQuality field	A struct with min, max, mean, and 7 stddev

3.5.1 Computing user-defined sample QC metrics

In addition to the built-in QC functions discussed above, Glow provides two ways to compute user-defined per-sample statistics.

aggregate_by_index

First, you can aggregate over each sample in a genotypes array using the aggregate_by_index function.

aggregate_by_index(array, initial_state, update_function, merge_function, eval_function)

Name	Type	Description
array array		The array-typed column. There are no requirements on the element datatype. This ar-
		ray is expected to be the same length for each row in the input DataFrame. The output of
		aggregate_by_index is an array with the same length as each input row.
initial_s	t a te	The initial aggregation state for each sample.
update_fu	n∉Ui,on	A function that returns a new single sample aggregation state given the current aggregation
	T>	state and a new data element.
	-> U	
merge_fun	c ti Jon	A function that combines two single sample aggregation states. This function is necessary
	U>	since the aggregation is computed in a distributed manner across all nodes in the cluster.
	-> U	
eval_func	t i Jon>	A function that returns the output for a sample given that sample's aggregation state. This
(optional)	V	function is optional. If it is not specified, the aggregation state will be returned.

For example, this code snippet uses aggregate_by_index to compute the mean for each array position:

```
aggregate_by_index(
  array_col,
  (0d, 0l),
  (state, element) -> (state.col1 + element, state.col2 + 1),
  (state1, state2) -> (state1.col1 + state2.col1, state1.col2 + state2.col2),
  state -> state.col1 / state.col2)
```

Explode and aggregate

If your dataset is not in a normalized, pVCF-esque shape, or if you want the aggregation output in a table rather than a single array, you can explode the genotypes array and use any of the aggregation functions built into Spark. For example, this code snippet computes the number of sites with a non-reference allele for each sample:

Notebook

<div class='embedded-notebook'> How
to run a notebook Get notebook link <div class='embedded-notebook-container'> <div class='loading-spinner'></div> <iframe
src="../_static/notebooks/etl/sample-qc-demo.html" id='-8206284993960754358' height="1000px" width="100%"
style="overflow-y:hidden;" scrolling="no"></iframe> </div> </div>

3.6 Liftover

LiftOver converts genomic data between reference assemblies. The UCSC liftOver tool uses a chain file to perform simple coordinate conversion, for example on BED files. The Picard LiftOverVcf tool also uses the new reference assembly file to transform variant information (eg. alleles and INFO fields). Glow can be used to run *coordinate liftOver* and *variant liftOver*.

3.6.1 Create a liftOver cluster

For both coordinate and variant liftOver, you need a chain file on every node of the cluster. On a Databricks cluster, an example of a cluster-scoped init script you can use to download the required file for liftOver from the b37 to the hg38 reference assembly is as follows:

3.6.2 Coordinate liftOver

• contigName: string

• end: long

To perform liftOver for genomic coordinates, use the function lift_over_coordinates. lift_over_coordinates has the following parameters.

```
chromosome: stringstart: long
```

- chain file: string (constant value, such as one created with lit())
- minimum fraction of bases that must remap: double (optional, defaults to .95)

The returned struct has the following values if liftOver succeeded. If not, the function returns null.

```
• start: long
• end: long

output_df = input_df.withColumn('lifted', glow.lift_over_coordinates('contigName', 'start
    ',
    'end', chain_file, 0.99))
```

3.6. Liftover

3.6.3 Variant liftOver

For genetic variant data, use the lift_over_variants transformer. In addition to performing liftOver for genetic coordinates, variant liftOver performs the following transformations:

- Reverse-complement and left-align the variant if needed
- Adjust the SNP, and correct allele-frequency-like INFO fields and the relevant genotypes if the reference and alternate alleles have been swapped in the new genome build

Pull a target assembly reference file down to every node in the Spark cluster in addition to a chain file before performing variant liftOver.

The lift_over_variants transformer operates on a DataFrame containing genetic variants and supports the following options:

Parameter	Default	Description
chain_file	n/a	The path of the chain file.
reference_file	n/a	The path of the target reference file.
min_match_ratio	.95	Minimum fraction of bases that must remap.

The output DataFrame's schema consists of the input DataFrame's schema with the following fields appended:

- INFO_SwappedAlleles: boolean (null if liftOver failed, true if the reference and alternate alleles were swapped, false otherwise)
- INFO_ReverseComplementedAlleles: boolean (null if liftover failed, true if the reference and alternate alleles were reverse complemented, false otherwise)
- liftOverStatus: struct
 - success: boolean (true if liftOver succeeded, false otherwise)
 - errorMessage: string (null if liftOver succeeded, message describing reason for liftOver failure otherwise)

If liftOver succeeds, the output row contains the liftOver result and liftOverStatus.success is true. If liftOver fails, the output row contains the original input row, the additional INFO fields are null, liftOverStatus.success is false, and liftOverStatus.errorMessage contains the reason liftOver failed.

Liftover notebook

<div class='embedded-notebook'> How
to run a notebook Get notebook
link <div class='embedded-notebook-container'> <div class='loading-spinner'></div> <iframe
src="../_static/notebooks/etl/lift-over.html" id='9212638158265722728' height="1000px" width="100%"
style="overflow-y:hidden;" scrolling="no"></iframe> </div> </div>

3.7 Variant Normalization

Different genomic analysis tools often represent the same genomic variant in different ways, making it non-trivial to integrate and compare variants across call sets. Therefore, **variant normalization** is an essential step to be applied on variants before further downstream analysis to make sure the same variant is represented identically in different call sets. Normalization is achieved by making sure the variant is parsimonious and left-aligned (see Variant Normalization for more details).

Glow provides variant normalization capabilities as a DataFrame transformer as well as a SQL expression function with a Python API, bringing unprecedented scalability to this operation.

Note: Glow's variant normalization algorithm follows the same logic as those used in normalization tools such as beftools norm and vt normalize. This normalization logic is different from the one used by GATK's LeftAlignAndTrim-Variants, which sometimes yields incorrect normalization (see Variant Normalization for more details).

3.7.1 normalize_variants Transformer

The normalize_variants transformer can be applied to normalize a variant DataFrame, such as one generated by loading VCF or BGEN files. The output of the transformer is described under the replace_columns option below.

3.7.2 **Usage**

Assuming df_original is a variable of type DataFrame which contains the genomic variant records, and ref_genome_path is a variable of type String containing the path to the reference genome file, a minimal example of using this transformer for normalization is as follows:

Python

Scala

3.7.3 Options

The normalize_variants transformer has the following options:

Option	Туре	Possible values and description
reference_genome_path	string	Path to the reference genome .
		fasta or . fa file. This file must be
		accompanied with a .fai index file in the same folder.
replace_columns	boolean	in the same folder.
replace_columns	ooolean	
		False: The transformer does not
		modify the original start, end,
		referenceAllele and
		alternateAlleles columns.
		Instead, a StructType column called
		normalizationResult is added to the DataFrame. This column
		contains the normalized start,
		end, referenceAllele, and
		alternateAlleles columns as
		well as the normalizationStatus
		StructType as the fifth field, which
		contains the following subfields:
		changed: Indicates whether
		the variant data was changed as a result of normalization
		errorMessage: An error
		message in case the attempt
		at normalizing the row hit an
		error. In this case, the
		changed field will be set to
		False. If no errors occur this
		field will be null. In case of
		error, the first four fields in normalizationResult will
		be null.
		95
		True (default): The original start,
		end, referenceAllele, and
		alternateAlleles columns are
		replaced with the normalized values
		in case they have changed.
		Otherwise (in case of no change or an error), the original start, end,
		referenceAllele, and
		alternateAlleles are not
		modified. A StructType
		normalizationStatus column is
		added to the DataFrame with the
		same subfields explained above.
mode (deprecated)	string	
(
		normalize: Only normalizes the
		variants (if user does not pass the
		option, normalize is assumed as
		default)
22		Chapter 3.t Variant Data Maripulation
		multiallelic variants to biallelic
		variants and then normalize the

variants. This usage is deprecated.

3.7.4 normalize_variant Function

The normalizer can also be used as a SQL expression function. See *Glow PySpark Functions* for details on how to use it in the Python API. An example of an expression using the normalize_variant function is as follows:

Variant normalization notebook

<div class='embedded-notebook'> How
to run a notebook Get notebook link <div class='embedded-notebook-container'> <div class='loading-spinner'></div> <iframe
src="../_static/notebooks/etl/normalizevariants.html" id='2755321410597185479' height="1000px" width="100%"
style="overflow-y:hidden;" scrolling="no"></iframe> </div> </div>

3.8 Split Multiallelic Variants

Splitting multiallelic variants to biallelic variants is a transformation sometimes required before further downstream analysis. Glow provides the **split_multiallelics** transformer to be applied on a variant DataFrame to split multiallelic variants in the DataFrame to biallelic variants. This transformer is able to handle any number of ALT alleles and any ploidy.

Note: The splitting logic used by the split_multiallelics transformer is the same as the one used by the vt decompose tool of the vt package with option -s (note that the example provided at vt decompose user manual page does not reflect the behavior of vt decompose -s completely correctly).

The precise behavior of the split_multiallelics transformer is presented below:

- A given multiallelic row with n ALT alleles is split to n biallelic rows, each with one of the ALT alleles of the original multiallelic row. The REF allele in all split rows is the same as the REF allele in the multiallelic row.
- Each INFO field is appropriately split among split rows if it has the same number of elements as number of ALT alleles, otherwise it is repeated in all split rows. The boolean INFO field splitFromMultiAllelic is added/modified to reflect whether the new row is the result of splitting a multiallelic row through this transformation or not. A new INFO field called OLD_MULTIALLELIC is added to the DataFrame, which for each split row, holds the CHROM:POS:REF/ALT of its original multiallelic row. Note that the INFO field must be flattened (as explained *here*) in order to be split by this transformer. Unflattened INFO fields (such as those inside an attributes field) will not be split, but just repeated in whole across all split rows.
- Genotype fields for each sample are treated as follows: The GT field becomes biallelic in each row, where the original ALT alleles that are not present in that row are replaced with no call. The fields with number of entries equal to number of REF + ALT alleles, are properly split into rows, where in each split row, only entries corresponding to the REF allele as well as the ALT allele present in that row are kept. The fields which follow colex order (e.g., GL, PL, and GP) are properly split between split rows where in each row only the elements corresponding to genotypes comprising of the REF and ALT alleles in that row are listed. Other genotype fields are just repeated over the split rows.
- Any other field in the DataFrame is just repeated across the split rows.

As an example (shown in VCF file format), the following multiallelic row

```
#CHROM
        POS
                                                     FILTER INFO
                 ID
                          REF
                                   ALT
                                            OUAL
                                                                       FORMAT SAMPLE1
20
        101
                          Α
                                   ACCA, TCGG
                                                              PASS
                                                                       VC=INDEL; AC=3, 2; AF=0.375,
\rightarrow 0.25; AN=8
                  GT:AD:DP:GQ:PL 0/1:2,15,31:30:99:2407,0,533,697,822,574
```

will be split into the following two biallelic rows:

```
#CHROM POS
                        REF
                                 ALT
                                         QUAL
                                                 FILTER
                                                         INFO
                ID
                                                                  FORMAT SAMPLE1
        101
                                 ACCA
                                                 PASS
                                                          VC=INDEL; AC=3; AF=0.375; AN=8; OLD_
                        Α
→MULTIALLELIC=20:101:A/ACCA/TCGG GT:AD:DP:GQ:PL
                                                   0/1:2,15:30:99:2407,0,533
        101
                        Α
                                 TCGG
                                                 PASS
                                                          VC=INDEL; AC=2; AF=0.25; AN=8; OLD_
→MULTIALLELIC=20:101:A/ACCA/TCGG GT:AD:DP:GQ:PL 0/.:2,31:30:99:2407,697,574
```

3.8.1 **Usage**

Assuming df_original is a variable of type DataFrame which contains the genomic variant records, an example of using this transformer for splitting multiallelic variants is:

Python

Scala

```
df_split = glow.transform("split_multiallelics", df_original)
```

```
df_split = Glow.transform("split_multiallelics", df_original)
```

Tip: The split_multiallelics transformer is often significantly faster if the *whole-stage code generation* feature of Spark Sql is turned off. Therefore, it is recommended that you temporarily turn off this feature using the following command before using this transformer.

Python

Scala

```
spark.conf.set("spark.sql.codegen.wholeStage", False)
```

```
spark.conf.set("spark.sql.codegen.wholeStage", false)
```

Remember to turn this feature back on after your split DataFrame is materialized.

Split Multiallelic Variants notebook

<div class='embedded-notebook'> How to run a notebook Get notebook link <div class='embedded-notebook-container'> <div class='loading-spinner'></div> <iframe src="../_static/notebooks/etl/splitmultiallelics-transformer.html" id='-5308965433024714132' height="1000px" width="100%" style="overflow-y:hidden;" scrolling="no"></iframe> </div> </div>

3.9 Merging Variant Datasets

You can use Glow and Spark to merge genomic variant datasets from non-overlapping sample sets into a multi-sample dataset. In these examples, we will read from VCF files, but the same logic works on *DataFrames backed by other file formats*.

First, read the VCF files into a single Spark DataFrame:

The resulting DataFrame contains all records from the VCFs you want to merge, but the genotypes from different samples at the same site have not been combined. You can use an aggregation to combine the genotype arrays.

Important: When reading VCF files for a merge operation, sampleId must be the first field in the genotype struct. This is the default Glow schema.

The genotypes from different samples now appear in the same genotypes array.

Note: If the VCFs you are merging contain different sites, elements will be missing from the genotypes array after aggregation. Glow automatically fills in missing genotypes when writing to bigvcf, so an exported VCF will still contain all samples.

3.9.1 Aggregating INFO fields

To preserve INFO fields in a merge, you can use the aggregation functions in Spark. For instance, to emit an INFO_DP column that is the sum of the INFO_DP columns across all samples:

3.9.2 Joint genotyping

The merge logic in this document allows you to quickly aggregate genotyping array data or single sample VCFs. For a more sophisticated aggregation that unifies alleles at overlapping sites and uses cohort-level statistics to refine genotype calls, we recommend running a joint genotyping pipeline.

Notebook

<div class='embedded-notebook'> How to run a notebook Get notebook link <div class='embedded-notebook-container'> <div class='loading-spinner'></div> <iframe src="../_static/notebooks/etl/merge-vcf.html" id='-8161764477576614673' height="1000px" width="100%" style="overflow-y:hidden;" scrolling="no"></iframe> </div> </div></ri>

3.10 Hail Interoperation

Glow includes functionality to enable conversion between a Hail MatrixTable and a Spark DataFrame, similar to one created with the *native Glow datasources*.

3.10.1 Create a Hail cluster

To use the Hail interoperation functions, you need Hail to be installed on the cluster. On a Databricks cluster, install Hail with an environment variable. See the Hail installation documentation to install Hail in other setups.

3.10.2 Convert to a Glow DataFrame

Convert from a Hail MatrixTable to a Glow-compatible DataFrame with the function from_matrix_table.

```
from glow.hail import functions
df = functions.from_matrix_table(mt, include_sample_ids=True)
```

By default, the genotypes contain sample IDs. To remove the sample IDs, set the parameter include_sample_ids=False.

3.10.3 Schema mapping

The Glow DataFrame variant fields are derived from the Hail MatrixTable row fields.

Required	Glow DataFrame variant field	eld Hail MatrixTable row field	
Yes	contigName locus.contig		
Yes	start	locus.position - 1	
Yes	end	<pre>info.END or locus.position - 1 + len(alleles[0])</pre>	
Yes	referenceAllele	alleles[0]	
No	alternateAlleles	alleles[1:]	
No	names	[rsid, varid]	
No	qual	qual	
No	filters	filters	
No	INFO_ <any_field></any_field>	info. <any_field></any_field>	

The Glow DataFrame genotype sample IDs are derived from the Hail MatrixTable column fields.

All of the other Glow DataFrame genotype fields are derived from the Hail MatrixTable entry fields.

Glow DataFrame genotype field	Hail MatrixTable entry field
phased	GT.phased
calls	GT.alleles
depth	DP
filters	FT
genotypeLikelihoods	GL
phredLikelihoods	PL
posteriorProbabilities	GP
conditionalQuality	GQ
haplotypeQualities	HQ
expectedAlleleCounts	EC
mappingQuality	MQ
alleleDepths	AD
<any_field></any_field>	<any_field></any_field>

Hail interoperation notebook

<div class='embedded-notebook'> How
to run a notebook Get notebook link <div class='embedded-notebook-container'> <div class='loading-spinner'></div> <iframe
src="../_static/notebooks/etl/hail-interoperation.html" id='2141910146074358066' height="1000px" width="100%"
style="overflow-y:hidden;" scrolling="no"></iframe> </div> </div>

3.11 Utility Functions

Glow includes a variety of utility functions for performing basic data manipulation.

3.11.1 Struct transformations

Glow's struct transformation functions change the schema structure of the DataFrame. These transformations integrate with functions whose parameter structs require a certain schema.

subset_struct: subset fields from a struct

• add_struct_fields: append fields to a struct

expand_struct: explode a struct into columns

```
expanded_df = base_df.select(glow.expand_struct('base_col'))
```

3.11.2 Spark ML transformations

Glow supports transformations between double arrays and Spark ML vectors for integration with machine learning libraries such as Spark's machine learning library (MLlib).

• array_to_dense_vector: transform from an array to a dense vector

• array_to_sparse_vector: transform from an array to a sparse vector

• vector_to_array: transform from a vector to a double array

```
from pyspark.ml.linalg import SparseVector
row_one = Row(vector_col=SparseVector(3, [0, 2], [1.0, 3.0]))
row_two = Row(vector_col=SparseVector(3, [1], [1.0]))
vector_df = spark.createDataFrame([row_one, row_two])
array_df = vector_df.select(glow.vector_to_array('vector_col').alias('array_col'))
```

• explode_matrix: explode a Spark ML matrix such that each row becomes an array of doubles

3.11.3 Variant data transformations

Glow supports numeric transformations on variant data for downstream calculations, such as GWAS.

• genotype_states: create a numeric representation for each sample's genotype data. This calculates the sum of the calls (or -1 if any calls are missing); the sum is equivalent to the number of alternate alleles for biallelic variants.

(continued from previous page)

• hard_calls: get hard calls from genotype probabilities. These are determined based on the number of alternate alleles for the variant, whether the probabilities are phased (true for haplotypes and false for genotypes), and a call threshold (if not provided, this defaults to 0.9). If no calls have a probability above the threshold, the call is set to -1.

• mean_substitute: substitutes the missing values of a numeric array using the mean of the non-missing values. Any values that are NaN, null or equal to the missing value parameter are considered missing. If all values are missing, they are substituted with the missing value. If the missing value is not provided, this defaults to -1.

```
unsubstituted_row = Row(unsubstituted_values=[float('nan'), None, -1.0, 0.0, 1.0, 2.0, 3. 
0])
unsubstituted_df = spark.createDataFrame([unsubstituted_row])
substituted_df = unsubstituted_df.select(glow.mean_substitute('unsubstituted_values', 
lit(-1.0)).alias('substituted_values'))
```

CHAPTER

FOUR

TERTIARY ANALYSIS

Perform population-scale statistical analyses of genetic variants.

4.1 Parallelizing Command-Line Bioinformatics Tools With the Pipe Transformer

To use single-node tools on massive data sets, Glow includes a utility called the Pipe Transformer to process Spark DataFrames with command-line tools.

4.1.1 Usage

Consider a minimal case with a DataFrame containing a single column of strings. You can use the Pipe Transformer to reverse each of the strings in the input DataFrame using the rev Linux command:

Python

Scala

Provide options through the arg_map argument or as keyword args.

Provide options as a Map[String, Any].

```
Glow.transform("pipe", df, Map(
    "cmd" -> Seq("grep", "-v", "#INFO"),
    "inputFormatter" -> "vcf",
    "outputFormatter" -> "vcf",
    "inVcfHeader" -> "infer")
)
```

The options in this example demonstrate how to control the basic behavior of the transformer:

- cmd is a JSON-encoded array that contains the command to invoke the program
- input_formatter defines how each input row should be passed to the program
- output_formatter defines how the program output should be converted into a new DataFrame

The input DataFrame can come from any Spark data source — Delta, Parquet, VCF, BGEN, and so on.

4.1.2 Integrating with bioinformatics tools

To integrate with tools for genomic data, you can configure the Pipe Transformer to write each partition of the input DataFrame as VCF by choosing vcf as the input and output formatter. Here is an example using bedtools, note that the bioinformatics tool must be installed on each virtual machine of the Spark cluster.

```
df = spark.read.format("vcf").load(path)
intersection_df = glow.transform(
    'pipe',
    df,
    cmd=['bedtools', 'intersect', '-a', 'stdin', '-b', bed, '-header', '-wa'],
    input_formatter='vcf',
    in_vcf_header='infer',
    output_formatter='vcf'
)
```

You must specify a method to determine the VCF header when using the *VCF input formatter*. The option infer instructs the Pipe Transformer to derive a VCF header from the DataFrame schema. Alternately, you can provide the header as a blob, or you can point to the filesystem path for an existing VCF file with the correct header.

4.1.3 Options

Option keys and values are always strings. You can specify option names in snake or camel case; for example inputFormatter, input_formatter, and InputFormatter are all equivalent.

Op-	Description		
tion			
cmd	The command, specified as an array of strings, to invoke the piped program. The program's stdin receives		
	the formatted contents of the input DataFrame, and the output DataFrame is constructed from its stdout.		
	The stderr stream will appear in the executor logs.		
input_fc@maxeresrthe input DataFrame to a format that the piped program understands. Built-in input formatters			
	are text, csv, and vcf.		
output.	output formærtsethe output of the piped program back into a DataFrame. Built-in output formatters are text,		
	csv, and vcf.		
env_*	Options beginning with env_ are interpreted as environment variables. Like other options, the		
	environment variable name is converted to lower snake case. For example, providing the option		
	env_aniMal=MONKEY results in an environment variable with key ani_mal and value MONKEY being		
	provided to the piped program.		

Some of the input and output formatters take additional options.

VCF input formatter

Option	Description
in_vcf_header	How to determine a VCF header from the input
	DataFrame. Possible values:
	• infer: Derive a VCF header from the DataFrame
	schema. The inference behavior matches that of
	the sharded VCF writer.
	• The complete contents of a VCF header starting with ##
	A Hadoop filesystem path to a VCF file. The
	header from this file is used as the VCF header
	for each partition.

The CSV input and output formatters accept most of the same options as the CSV data source. You must prefix options to the input formatter with in_, and options to the output formatter with out_. For example, in_quote sets the quote character when writing the input DataFrame to the piped program.

The following options are not supported:

- · path options are ignored
- The parserLib option is ignored. univocity is always used as the CSV parsing library.

4.1.4 Cleanup

The pipe transformer uses RDD caching to optimize performance. Spark automatically drops old data partitions in a least-recently-used (LRU) fashion. If you would like to manually clean up the RDDs cached by the pipe transformer instead of waiting for them to fall out of the cache, use the pipe cleanup transformer on any DataFrame. Do not perform cleanup until the pipe transformer results have been materialized, such as by being written to a Delta Lake table.

Python

Scala

```
glow.transform('pipe_cleanup', df)
```

```
Glow.transform("pipe_cleanup", df)
```

Notebook

<div class='embedded-notebook'> How to run a notebook Get notebook link <div class='embedded-notebook-container'> <div class='loading-spinner'></div> <iframe src="../_static/notebooks/tertiary/pipe-transformer.html" id='489953844602667829' height="1000px" width="100%" style="overflow-y:hidden;" scrolling="no"></iframe> </div> </div>

4.2 Using Python Statistics Libraries

This notebook demonstrates how to use pandas user-defined functions (UDFs) to run native Python code with PySpark when working with genomic data.

4.2.1 pandas example notebook

<div class='embedded-notebook'> How
to run a notebook Get notebook link <div class='embedded-notebook-container'> <div class='loading-spinner'></div> <iframe
src="../_static/notebooks/tertiary/pandas-lmm.html" id='-1343517544227406022' height="1000px" width="100%"
style="overflow-y:hidden;" scrolling="no"></iframe> </div> </div></ri></ur>

4.3 GloWGR: Whole Genome Regression

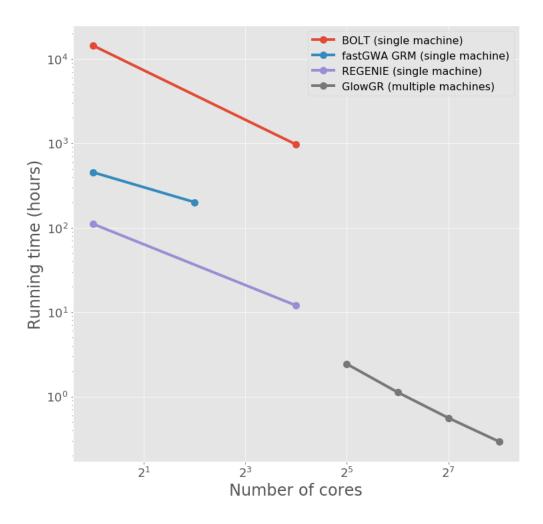
Glow supports Whole Genome Regression (WGR) as GloWGR, a distributed version of the regenie method (see the paper published in Nature Genetics). GloWGR supports two types of phenotypes:

- · Quantitative
- Binary

Many steps of the GloWGR workflow explained in this page are common between the two cases. Any step that is different between the two has separate explanations clearly marked by "for quantitative phenotypes" vs. "for binary phenotypes".

4.3.1 Performance

The following figure demonstrates the performance gain obtained by using parallelized GloWGR in comparision with single machine BOLT, fastGWA GRM, and regenie for fitting WGR models against 50 quantitative phenotypes from the UK Biobank project.

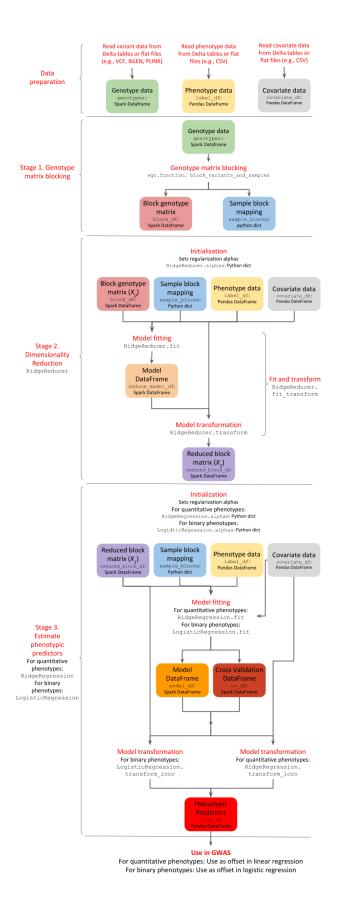


4.3.2 Overview

GloWGR consists of the following stages:

- Block the genotype matrix across samples and variants
- Perform dimensionality reduction with linear ridge regression
- Estimate phenotypic predictors using
 - For quantitative phenotypes: linear ridge regression
 - For binary phenotypes: logistic ridge regression

The following diagram provides an overview of the operations and data within the stages of GlowWGR and their interrelationship.



4.3.3 Data preparation

GloWGR accepts three input data components.

1. Genotype data

The genotype data may be read as a Spark DataFrame from any variant data source supported by Glow, such as *VCF*, *BGEN or PLINK*. For scalability and high-performance repeated use, we recommend storing flat genotype files into *Delta tables*. The DataFrame must include a column values containing a numeric representation of each genotype. The genotypic values may not be missing.

When loading the variants into the DataFrame, perform the following transformations:

- Split multiallelic variants with the split_multiallelics transformer.
- Create a values column by calculating the numeric representation of each genotype. This representation is typically the number of alternate alleles for biallelic variants which can be calculated with glow.genotype_states. Replace any missing values with the mean of the non-missing values using glow.mean_substitute.

Example

2. Phenotype data

The phenotype data is represented as a Pandas DataFrame indexed by the sample ID. Phenotypes are also referred to as labels. Each column represents a single phenotype. It is assumed that there are no missing phenotype values.

• For quantitative phenotypes: It is assumed the phenotypes are standardized with zero mean and unit (unbiased) variance.

Example: Standardization can be performed with Pandas or scikit-learn's StandardScaler.

• For binary phenotypes: Phenotype values are either 0 or 1. No standardization is needed.

Example

```
import pandas as pd
label_df = pd.read_csv(binary_phenotypes_csv, index_col='sample_id')
```

3. Covariate data

The covariate data is represented as a Pandas DataFrame indexed by the sample ID. Each column represents a single covariate. It is assumed that there are no missing covariate values, and that the covariates are standardized with zero mean and unit (unbiased) variance.

Example

```
covariate_df = pd.read_csv(covariates_csv, index_col='sample_id')
```

4.3.4 Stage 1. Genotype matrix blocking

The first stage of GloWGR is to generate the block genotype matrix. The glow.wgr.functions. block_variants_and_samples function is used for this purpose and creates two objects: a block genotype matrix and a sample block mapping.

Warning: We do not recommend using the split_multiallelics transformer and the block_variants_and_samples function in the same query due to JVM JIT code size limits during whole-stage code generation. It is best to persist the variants after splitting multiallelics to a Delta table (see *Create a Genomics Delta Lake*) and then read the data from this Delta table to apply block_variants_and_samples.

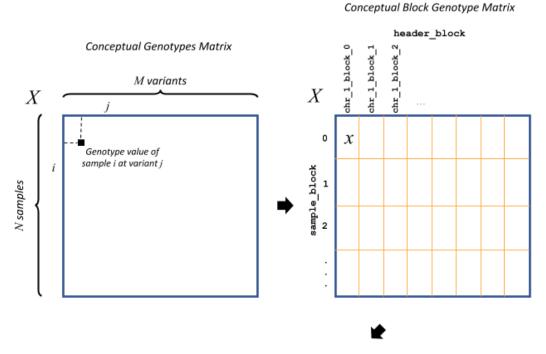
Parameters

- genotypes: Genotype DataFrame including the values column generated as explained above
- sample_ids: A python List of sample IDs. Can be created by applying glow.wgr.functions. get_sample_ids to a genotype DataFrame
- variants_per_block: Number of variants to include in each block. We recommend 1000.
- sample_block_count: Number of sample blocks to create. We recommend 10.

Return

The function returns a block genotype matrix and a sample block mapping.

• Block genotype matrix (see figure below): The block genotype matrix can be conceptually imagined as an $N \times M$ matrix X where each row represents an individual sample, and each column represents a variant, and each cell (i,j) contains the genotype value for sample i at variant j. Then imagine a coarse grid is laid on top of matrix X such that matrix cells within the same coarse grid cell are all assigned to the same block. Each block x is indexed by a sample block ID (corresponding to a list of rows belonging to the block) and a header block ID (corresponding to a list of columns belonging to the block). The sample block IDs are generally just integers 0 through the number of sample blocks. The header block IDs are strings of the form 'chr_C_block_B', which refers to the Bth block on chromosome K. The Spark DataFrame representing this block matrix can be thought of as the transpose of each block, i.e., x^T , all stacked one atop another. Each row in the DataFrame represents the values from a particular column of K for the samples corresponding to a particular sample block.



Block Genotype Matrix Stored as Spark DataFrame

sample_block for all rows in the block	header_block for all rows in the block	
0	chr_1_block_0	x^T
0	chr_1_block_1	
0	chr_1_block_2	
:	:	-:
1	chr_1_block_0	
1	chr_1_block_1	
1	chr_1_block_2	
:	:	:

The fields in the DataFrame and their content for a given row are as follows:

- ullet sample_block: An ID assigned to the block x containing the group of samples represented on this row
- header_block: An ID assigned to the block x containing this header
- header: The column name in the conceptual genotype matrix X
- size: The number of individuals in the sample block
- values: Genotype values for the header in this sample block. If the matrix is sparse, contains only non-zero values.
- position: An integer assigned to this header that specifies the correct sort order for the headers in this block

- mu: The mean of the genotype values for this header
- sig: The standard deviation of the genotype values for this header

Warning: Variant rows in the input DataFrame whose genotype values are uniform across all samples are filtered from the output block genotype matrix.

• Sample block mapping: The sample block mapping is a python dictionary containing key-value pairs, where each key is a sample block ID and each value is a list of sample IDs contained in that sample block. The order of these IDs match the order of the values arrays in the block genotype DataFrame.

Example

4.3.5 Stage 2. Dimensionality reduction

Having the block genotype matrix, the first stage is to apply a dimensionality reduction to the block matrix X using the RidgeReducer. After RidgeReducer is initialized, dimensionality reduction is accomplished within two steps:

- 1. Model fitting, performed by the RidgeReducer.fit function, which fits multiple ridge models within each block x.
- 2. Model transformation, performed by the RidgeReducer.transform function, which produces a new block matrix where each column represents the prediction of one ridge model applied within one block.

This approach to model building is generally referred to as **stacking**. We call the starting block genotype matrix the **level 0** matrix in the stack, denoted by X_0 , and the output of the ridge reduction step the **level 1** matrix, denoted by X_1 . The RidgeReducer class is initialized with a list of ridge regularization values (here referred to as alpha). Since ridge models are indexed by these alpha values, the RidgeReducer will generate one ridge model per value of alpha provided, which in turn will produce one column per block in X_0 . Therefore, the final dimensions of X_1 for a single phenotype will be $N \times (L \times K)$, where L is the number of header blocks in X_0 and K is the number of alpha values provided to the RidgeReducer. In practice, we can estimate a span of alpha values in a reasonable order of magnitude based on guesses at the heritability of the phenotype we are fitting.

1. Initialization

When the RidgeReducer is initialized, it assigns names to the provided alphas and stores them in a python dictionary accessible as RidgeReducer.alphas. If alpha values are not provided, they will be generated during RidgeReducer. fit based on the number of unique headers in the blocked genotype matrix X_0 , denoted by h_0 , and a set of heritability values. More specifically,

$$\alpha = h_0 \left[\frac{1}{0.99}, \frac{1}{0.75}, \frac{1}{0.50}, \frac{1}{0.25}, \frac{1}{0.01} \right]$$

These values are only sensible if the phenotypes are on the scale of one.

Example

reduction = RidgeReduction(block_df, label_df, sample_blocks, covariate_df)

2. Model fitting

The reduction of a block x_0 from X_0 to the corresponding block x_1 from X_1 is accomplished by the matrix multiplication $x_0B = x_1$, where B is a coefficient matrix of size $m \times K$, where m is the number of columns in block x_0 and K is the number of alpha values used in the reduction. As an added wrinkle, if the ridge reduction is being performed against multiple phenotypes at once, each phenotype will have its own B, and for convenience we panel these next to each other in the output into a single matrix, so B in that case has dimensions $m \times (K \times P)$ where P is the number of phenotypes. Each matrix B is specific to a particular block in X_0 , so the Spark DataFrame produced by the RidgeReducer can be thought of matrices B from all the blocks, one stacked atop another.

Parameters

- block_df: Spark DataFrame representing the beginning block matrix
- label_df: Pandas DataFrame containing the target labels used in fitting the ridge models
- sample_blocks: Dictionary containing a mapping of sample block IDs to a list of corresponding sample IDs
- covariate_df: Pandas DataFrame containing covariates to be included in every model in the stacking ensemble (optional)

Return

The fields in the model DataFrame are:

- header_block: An ID assigned to the block x_0 to the coefficients in this row
- sample_block: An ID assigned to the block x₀ containing the group of samples represented on this row
- header: The column name in the conceptual genotype matrix X_0 that corresponds to a particular row in the coefficient matrix B
- alphas: List of alpha names corresponding to the columns of B
- labels: List of labels (i.e., phenotypes) corresponding to the columns of B
- coefficients: List of the actual values from a row in B

Example

```
model_df = reduction.fit()
```

3. Model transformation

After fitting, the RidgeReducer.transform method can be used to generate X_1 from X_0 .

Parameters

- block_df: Spark DataFrame representing the beginning block matrix
- label_df: Pandas DataFrame containing the target labels used in fitting the ridge models
- sample_blocks: Dictionary containing a mapping of sample block IDs to a list of corresponding sample IDs
- model_df: Spark DataFrame produced by the RidgeReducer.fit function, representing the reducer model
- covariate_df: Pandas DataFrame containing covariates to be included in every model in the stacking ensemble (optional).

Return

The output of the transformation is analogous to the block matrix DataFrame we started with. The main difference is that, rather than representing a single block matrix, it represents multiple block matrices, with one such matrix per label (phenotype). The schema of this block matrix DataFrame (reduced_block_df) will be as follows:

This schema is the same as the schema of the DataFrame we started with (block_df) with two additional columns:

- alpha: Name of the alpha value used in fitting the model that produced the values in this row
- label: The label corresponding to the values in this row. Since the genotype block matrix X_0 is phenotypeagnostic, the rows in block_df were not restricted to any label (phenotype), but the level 1 block matrix X_1 represents ridge model predictions for the labels the reducer was fit with, so each row is associated with a specific label.

The headers in the X_1 block matrix are derived from a combination of the source block in X_0 , the alpha value used in fitting the ridge model, and the label they were fit with. These headers are assigned to header blocks that correspond to the chromosome of the source block in X_0 .

Example

reduced_block_df = reduction.transform()

Performing fit and transform in a single step

If the block genotype matrix, phenotype DataFrame, sample block mapping, and covariates are constant for both the model fitting and transformation, the RidgeReducer.fit_transform function can be used to do fit and transform in a single step

Example

reduced_block_df = reduction.fit_transform()

4.3.6 Stage 3. Estimate phenotypic predictors

At this stage, the block matrix X_1 is used to fit a final predictive model that can generate phenotype predictions \hat{y} using

- For quantitative phenotypes: the RidgeRegression class.
- For binary phenotypes: the LogisticRegression class.

1. Initialization

• For quantitative phenotypes: As with the RidgeReducer class, the RidgeRegression class is initialized with a list of alpha values. If alpha values are not provided, they will be generated during RidgeRegression.fit based on the unique number of headers in the blocked matrix X_1 , denoted by h_1 , and a set of heritability values.

$$\alpha = h_1 \left[\frac{1}{0.99}, \frac{1}{0.75}, \frac{1}{0.50}, \frac{1}{0.25}, \frac{1}{0.01} \right]$$

These values are only sensible if the phenotypes are on the scale of one.

Example

regression = RidgeRegression.from_ridge_reduction(reduction)

• For binary phenotypes: Everything is the same except that LogisticRegression class is used instead of RidgeRegression.

Example

regression = LogisticRidgeRegression.from_ridge_reduction(reduction)

2. Model fitting

Model fitting is performed using

- For quantitative phenotypes: the RidgeRegression.fit function.
- For binary phenotypes: the LogisticRegression.fit function.

This works much in the same way as the RidgeReducer *model fitting*, except that it returns an additional DataFrame that reports the cross validation results in optimizing the hyperparameter alpha.

Parameters

- block_df: Spark DataFrame representing the reduced block matrix
- label_df: Pandas DataFrame containing the target labels used in fitting the ridge models
- sample_blocks: Dictionary containing a mapping of sample block IDs to a list of corresponding sample IDs
- covariate_df: Pandas DataFrame containing covariates to be included in every model in the stacking ensemble (optional)

Return

The first output is a model DataFrame analogous to the *model DataFrame* provided by the RidgeReducer. An important difference is that the header block ID for all rows will be 'all', indicating that all headers from all blocks have been used in a single fit, rather than fitting within blocks.

The second output is a cross validation report DataFrame containing the results of the hyperparameter (i.e., alpha) value optimization routine. The fields in this DataFrame are:

- label: This is the label corresponding to the cross cv results on the row.
- alpha: The name of the optimal alpha value
- r2_mean: The mean out of fold r2 score for the optimal alpha value

Example

Assuming regression is initialized to RidgeRegression (for quantitative phenotypes) or LogisticRegression (for binary phenotypes) as described *above*, fitting will be done as follows:

```
model_df, cv_df = regression.fit()
```

3. Model transformation

After fitting the model, the model DataFrame and cross validation DataFrame are used to apply the model to the block matrix DataFrame to produce predictions (\hat{y}) for each label and sample. This is done using

- For quantitative phenotypes: the RidgeRegression.transform or RidgeRegression.transform_loco method.
- For binary phenotypes: the LogisticRegression.transform or LogisticRegression.transform_loco method.

Here, we describe the leave-one-chromosome-out (LOCO) approach. The input and output of the transform_loco function in RidgeRegression and LogisticRegression are as follows:

Parameters

- block_df: Spark DataFrame representing the reduced block matrix
- label_df: Pandas DataFrame containing the target labels used in the fitting step
- sample_blocks: Dictionary containing a mapping of sample block IDs to a list of corresponding sample IDs
- model_df: Spark DataFrame produced by the RidgeRegression.fit function (for quantitative phenotypes) or LogisticRegression.fit function (for binary phenotypes), representing the reducer model
- cv_df: Spark DataFrame produced by the RidgeRegression.fit function (for quantitative phenotypes) or LogisticRegression.fit function (for binary phenotypes), containing the results of the cross validation routine
- covariate_df:
 - **For quantitative phenotypes**: Pandas DataFrame containing covariates to be included in every model in the stacking ensemble (optional).
 - For binary phenotypes:
 - * If response='linear', covariate_df should not be provided.

Tip: This is because in any follow-up GWAS analysis involving penalization, such as Firth logistic regression, only the linear terms containing genotypes will be used as an offset and covariate coefficients will be refit.

- * If response='sigmoid', a Pandas DataFrame containing covariates to be included in every model in the stacking ensemble.
- response (for binary phenotypes only): String specifying the desired output. It can be 'linear' (default) to specify the direct output of the linear WGR model (default) or 'sigmoid' to specify predicted label probabilities.
- chromosomes: List of chromosomes for which to generate a prediction (optional). If not provided, the chromosomes will be inferred from the block matrix.

Return

- For quantitative phenotypes: Pandas DataFrame shaped like label_df, representing the resulting phenotypic predictors \hat{y} , indexed by the sample ID and chromosome with each column representing a single phenotype.
- For binary phenotypes:
 - If response='linear': Similar to above but the phenotypic predictor captures only the terms containing genotypes (and not covariates)
 - If response='sigmoid': Pandas DataFrame with the same structure as above containing the predicted probabilities.

Example

Assuming regression is initialized to RidgeRegression (for quantitative phenotypes) or LogisticRegression (for binary phenotypes) as described *above*, fitting will be done as follows:

For quantitative phenotypes:

```
y_hat_df = regression.transform_loco()
```

For binary phenotypes:

```
y_hat_df = regression.transform_loco()
```

4.3.7 Proceed to GWAS

GloWGR GWAS functionality can be used to perform genome-wide association study using the phenotypic predictors to correct for polygenic effects.

4.3.8 Troubleshooting

If you encounter limits related to memory allocation in PyArrow, you may need to tune the number of alphas, number of variants per block, and/or the number of sample blocks. The default values for these hyperparameters are tuned for 500,000 variants and 500,000 samples.

The following values must all be lower than 132,152,839:

- (# alphas) * (# variants / # variants per block) * (# samples / # sample blocks)
- (# alphas * # variants / # variants per block)^2

Two example notebooks are provided below, the first for quantitative phenotypes and the second for binary phenotypes.

GloWGR notebook for quantitative phenotypes

<div class='embedded-notebook'> How
to run a notebook Get notebook
link <div class='embedded-notebook-container'> <div class='loading-spinner'></div> <iframe
src="../_static/notebooks/tertiary/glowgr.html" id='-8252258029823185156' height="1000px" width="100%"
style="overflow-y:hidden;" scrolling="no"></iframe> </div> </div></ri></ur>

GloWGR notebook for binary phenotypes

<div class='embedded-notebook'> How to run a notebook Get notebook link <div class='embedded-notebook-container'> <div class='loading-spinner'></div> <iframe src="../_static/notebooks/tertiary/binaryglowgr.html" id='-2901672932099096580' height="1000px" width="100%" style="overflow-y:hidden;" scrolling="no"></iframe> </div> </div>

4.4 GloWGR: Genome-Wide Association Study (GWAS) Regression Tests

Glow contains functions for performing regression analyses used in genome-wide association studies (GWAS). These functions are best used in conjunction with the *GloWGR whole genome regression method*, but also work as standalone analysis tools.

Tip: Glow automatically converts literal one-dimensional and two-dimensional numpy ndarray s of double s to array
<double> and spark.ml DenseMatrix respectively.

4.4.1 Linear regression

linear_regression performs a linear regression association test optimized for performance in a GWAS setting. You provide a Spark DataFrame containing the genetic data and Pandas DataFrames with the phenotypes, covariates, and optional offsets (typically predicted phenotypes from GloWGR). The function returns a Spark DataFrame with association test results for each (variant, phenotype) pair.

Each worker node in the cluster tests a subset of the total variant dataset. Multiple phenotypes and variants are tested together to take advantage of efficient matrix-matrix linear algebra primitives.

Example

```
import glow
import numpy as np
import pandas as pd
from pyspark.sql import Row
from pyspark.sql.functions import col, lit
# Read in VCF file
variants = spark.read.format('vcf').load(genotypes_vcf)
# genotype_states returns the number of alt alleles for each sample
# mean_substitute replaces any missing genotype states with the mean of the non-missing.
genotypes = (glow.transform('split_multiallelics', variants)
  .withColumn('gt', glow.mean_substitute(glow.genotype_states(col('genotypes'))))
  .select('contigName', 'start', 'names', 'gt')
  .cache())
# Read covariates from a CSV file
covariates = pd.read_csv(covariates_csv, index_col=0)
# Read phenotypes from a CSV file
continuous_phenotypes = pd.read_csv(continuous_phenotypes_csv, index_col=0)
# Run linear regression test
lin_reg_df = glow.gwas.linear_regression(genotypes, continuous_phenotypes, covariates,__
→values_column='gt')
```

For complete parameter usage information, check out the API reference for <code>glow.gwas.linear_regression()</code>.

Note: Glow also includes a SQL-based function for performing linear regression. However, this function only processes one phenotype at time, and so performs more slowly than the batch linear regression function documented above. To read more about the SQL-based function, see the docs for *glow.linear_regression_gwas()*.

4.4.2 Logistic regression

logistic_regression performs a logistic regression hypothesis test optimized for performance in a GWAS setting.

Example

```
import glow
import numpy as np
import pandas as pd
from pyspark.sql import Row
from pyspark.sql.functions import col, lit
# Read in VCF file
variants = spark.read.format('vcf').load(genotypes_vcf)
# genotype_states returns the number of alt alleles for each sample
"mean_substitute replaces any missing genotype states with the mean of the non-missing
⇔states
genotypes = (glow.transform('split_multiallelics', variants)
  .withColumn('gt', glow.mean_substitute(glow.genotype_states(col('genotypes'))))
  .select('contigName', 'start', 'names', 'gt')
  .cache())
# Read covariates from a CSV file
covariates = pd.read_csv(covariates_csv, index_col=0)
# Read phenotypes from a CSV file
binary_phenotypes = pd.read_csv(binary_phenotypes_csv, index_col=0)
# Run logistic regression test with approximate Firth correction for p-values below 0.05
log_reg_df = glow.gwas.logistic_regression(
  genotypes,
  binary_phenotypes,
  covariates,
  correction='approx-firth',
  pvalue_threshold=0.05,
  values_column='gt'
)
```

For complete parameter usage information, check out the API reference for <code>glow.gwas.logistic_regression()</code>.

Note: Glow also includes a SQL-based function for performing logistic regression. However, this function only processes one phenotype at time, and so performs more slowly than the batch logistic regression function documented above. To read more about the SQL-based function, see the docs for *glow.logistic_regression_gwas()*.

4.4.3 Offset

The linear and logistic regression functions accept GloWGR phenotypic predictions (either global or per chromosome) as an offset.

```
continuous_offsets = pd.read_csv(continuous_offset_csv, index_col=0)
lin_reg_df = glow.gwas.linear_regression(
  genotypes,
  continuous_phenotypes,
  covariates,
  offset_df=continuous_offsets,
  values_column='gt'
)
```

```
binary_offsets = pd.read_csv(binary_offset_csv, index_col=0)
log_reg_df = glow.gwas.logistic_regression(
   genotypes,
   binary_phenotypes,
   covariates,
   offset_df=binary_offsets,
   correction='approx-firth',
   pvalue_threshold=0.05,
   values_column='gt'
)
```

Tip: The offset parameter is especially useful in incorporating the results of *GloWGR* with phenotypes in GWAS. Please refer to *GloWGR*: *Whole Genome Regression* for details and example notebook.

Example notebooks and blog post

GIoWGR: GWAS for quantitative traits

 $\label{localization} $$ \begin{array}{lll} < div & class='embedded-notebook'> & a & href=''../additional-resources.html#running-databricks-notebooks''>How to run a notebook & style='float:right' href=''../_static/notebooks/tertiary/gwas-quantitative.html''>Get notebook & link & class='embedded-notebook-container'> & cliv & class='loading-spinner'> & cliv & class='loading-spinner'$

GloWGR: GWAS for binary traits

<div class='embedded-notebook'> How
to run a notebook Get notebook link <div class='embedded-notebook-container'> <div class='loading-spinner'></div> <iframe
src="../_static/notebooks/tertiary/gwas-binary.html" id='3977152475134159683' height="1000px" width="100%"
style="overflow-y:hidden;" scrolling="no"></iframe> </div> </div>

A detailed example and explanation of a GWAS workflow is available here.

GWAS with MLflow instrumentation

<div class='embedded-notebook'> How to run a notebook Get notebook link <div class='embedded-notebook-container'> <div class='loading-spinner'></div> <iframe src="../_static/notebooks/tertiary/gwas.html" id='1640818825070791899' height="1000px" width="100%" style="overflow-y:hidden;" scrolling="no"></iframe> </div> </div>

TROUBLESHOOTING

- Job is slow or OOMs (throws an OutOfMemoryError) while using an aggregate like collect_list or sample_call_summary_stats
 - Try disabling the ObjectHashAggregate by setting spark.sql.execution. useObjectHashAggregateExec to false
- Job is slow or OOMs while writing to partitioned table
 - This error can occur when reading from highly compressed files. Try decreasing spark.files. maxPartitionBytes to a smaller value like 33554432 (32MB)
- My VCF looks weird after merging VCFs and saving with bigvcf
 - When saving to a VCF, the samples in the genotypes array must be in the same order for each row. This ordering is not guaranteed when using collect_list to join multiple VCFs. Try sorting the array using sort_array.
- Glow's behavior changed after a release
 - See the Glow release notes. If the Glow release involved a Spark version change, see the Spark migration guide.

CHAPTER

SIX

BLOG POSTS

6.1 Introducing GloWGR: An industrial-scale, ultra-fast and sensitive method for genetic association studies

Authors: Leland Barnard, Henry Davidge, Karen Feng, Joelle Mbatchou, Boris Boutkov, Kiavash Kianfar, Lukas Habegger, Jonathan Marchini, Jeffrey Reid, Evan Maxwell, Frank Austin Nothaft

June 22, 2020

The industry partnership between Regeneron and Databricks is enabling innovations in genomics data processing and analysis. Today, we announce that we are making a new whole genome regression method available to the open source bioinformatics community as part of Project Glow.

Large cohorts of individuals with paired clinical and genome sequence data enable unprecedented insight into human disease biology. Population studies such as the UK Biobank, Genomics England, or Genome Asia 100k datasets are driving a need for innovation in methods for working with genetic data. These methods include genome wide association studies (GWAS), which enrich our understanding of the genetic architecture of the disease and are used in cutting-edge industrial applications, such as identifying therapeutic targets for drug development. However, these datasets pose novel statistical and engineering challenges. The statistical challenges have been addressed by tools such as SAIGE and Bolt-LMM, but they are difficult to set up and prohibitively slow to run on biobank-scale datasets.

In a typical GWAS, a single phenotype such as cholesterol levels or diabetes diagnosis status is tested for statistical association with millions of genetic variants across the genome. Sophisticated mixed model and whole genome regression-based approaches have been developed to control for relatedness and population structure inherent to large genetic study populations when testing for genetic associations; several methods such as BOLT-LMM, SAIGE, and fastGWA use a technique called whole genome regression to sensitively analyze a single phenotype in biobank-scale projects. However, deeply phenotyped biobank-scale projects can require tens of thousands of separate GWASs to analyze the full spectrum of clinical variables, and current tools are still prohibitively expensive to run at scale. In order to address the challenge of efficiently analyzing such datasets, the Regeneron Genetics Center has just developed a new approach for the whole-genome regression method that enables running GWAS across upwards of hundreds of phenotypes simultaneously. This exciting new tool provides the same superior test power as current state-of-the-art methods at a small fraction of the computational cost.

This new whole genome regression (WGR) approach recasts the whole genome regression problem to an ensemble model of many small, genetic region-specific models. This method is described in a preprint released today, and implemented in the C++ tool regenie. As part of the collaboration between the Regeneron Genetics Center and Databricks on the open source Project Glow, we are excited to announce GloWGR, a lightning-fast and highly scalable distributed implementation of this WGR algorithm, designed from the ground up with Apache Spark and integrated with other Glow functionality. With GloWGR, performing WGR analyses on dozens of phenotypes can be accomplished simultaneously in a matter of minutes, a task that would require hundreds or thousands of hours with existing state-of-the-art tools. Moreover, GloWGR distributes along both the sample and genetic variant matrix dimensions, allowing for linear scaling and a high degree of data and task parallelism. GloWGR plugs seamlessly into any existing GWAS workflow, providing an immediate boost to association detection power at a negligible computational cost.

6.1.1 Achieving High Accuracy and Efficiency with Whole-Genome Regression

This whole genome regression tool has a number of virtues. First, it is more efficient: as implemented in the single node, open-source regenie tool, whole genome regression is orders of magnitude faster than either SAIGE, Bolt-LMM, or fastGWA, while producing equivalent results (Figure 1). Second, it is straightforward to parallelize: in the next section, we describe how we implemented whole genome regression using Apache SparkTM in the open-source Project Glow.

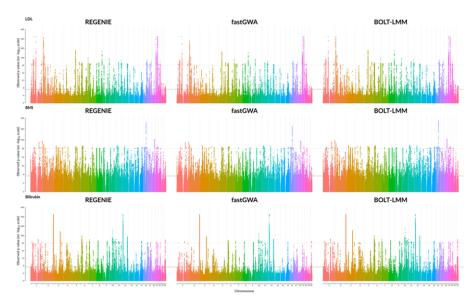


Fig. 6.1: Comparison of GWAS results for three quantitative phenotypes from the UK Biobank project, produced by REGENIE, BOLT-LMM, and fastGWA.

In addition to performance considerations, the whole genome regression approach produces covariates that are compatible with standard GWAS methods, and which eliminate spurious associations caused by population structure that are seen with traditional approaches. The Manhattan plots in figure 2 below compare the results of a traditional linear regression GWAS using standard covariates, to a linear regression GWAS using the covariates generated by WGR. This flexibility of GloWGR is another tremendous advantage over existing GWAS tools, and will allow for a wide variety of exciting extensions to the association testing framework that is already available in Glow.

Figure 3 shows performance comparisons between GloWGR, REGENIE, BoltLMM, and fastGWA. We benchmarked the whole genome regression test implemented in Glow against the C++ implementation available in the single-node regenie tool to validate the accuracy of the method. We found that the two approaches achieve statistically identical results. We also found that the Apache Spark $^{\text{TM}}$ based implementation in Glow scales linearly with the number of nodes used.

6.1.2 Scaling Whole Genome Regression within Project Glow

Performing WGR analysis with GloWGR has 5 steps:

- Dividing the genotype matrix into contiguous blocks of SNPs (~1000 SNPs per block, referred to as loci)
- Fitting multiple ridge models (~10) with varying ridge penalties within each locus
- Using the resulting ridge models to reduce the locus from a matrix of 1,000 features to 10 features (each feature is the prediction of one of the ridge models)
- Pooling the resulting features of all loci into a new reduced feature matrix X (N individuals by L loci x J ridge models per locus)

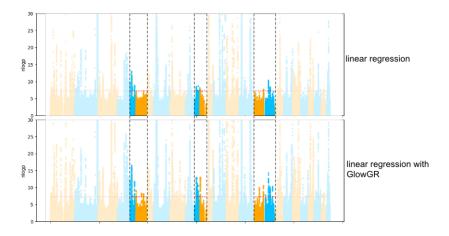


Fig. 6.2: Comparison of GWAS results of the quantitative phenotype bilirubin from the UK Biobank project, evaluated using standard linear regression and linear regression with GloWGR. The heightened peaks in the highlighted regions show the increase in power to detect subtler associations that is gained with GloWGR.

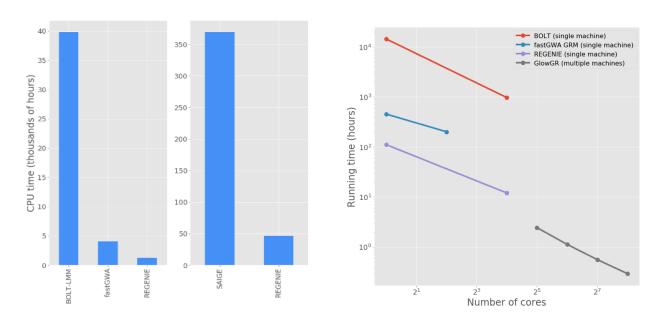


Fig. 6.3: Left: end-to-end GWAS runtime comparison for 50 quantitative traits from the UK Biobank project. Right: Run time comparison to fit WGR models against 50 quantitative phenotypes from the UK Biobank project. GloWGR scales well with cluster size, allowing for modeling of dozens of phenotypes in minutes without costing additional CPU efficiency. The exact list of phenotypes and computation environment details can be found here.

• Fitting a final regularized model from X for the genome-wide contribution to phenotype Y.

Glow provides the easy-to-use abstractions shown in figure 4 for transforming large genotype matrices into the blocked matrix (below, left) and then fitting the whole genome regression model (below, right). These can be applied to data loaded in any of the genotype file formats that Glow understands, including VCF, Plink, and BGEN formats, as well as genotype data stored in Apache SparkTM native file formats like Delta Lake.

```
block_df, sample_blocks = block_variants_and_samples(variant_df,

sample_ids,
variants_per_block,
sample_block_count)

alphas_regression = np.logspace(1, 4, 10)
estimator = RidgeRegression(alphas_regression)
model_df, cv_df = estimator.fit(reduced_block_df,
sample_blocks,
covariates)
```

Fig. 6.4: Creating a matrix grouped by locus and fitting mixed ridge regression models using GloWGR

Glow provides an implementation of the WGR method for quantitative traits, and a binary trait variant is in progress. The covariate-adjusted phenotype created by GloWGR can be written out as an Apache Parquet TM or Delta Lake dataset, which can easily be loaded by and analyzed within Apache Spark, pandas, and other tools. Ultimately, using the covariates computed with WGR in a genome-wide association study is as simple as running the command shown in Figure 5, below. This command is run by Apache Spark, in parallel, across all of the genetic markers under test.

```
pdf = (label_df - y_hat_df).reset_index('contigName')
 2 apdf = pdf.melt(id_vars=['contigName']) \
     .groupby(['contigName', 'variable']) \
     .aggregate(lambda x: list(x)) \
    .reset_index() \
     .rename(columns={'variable': 'trait', 'value': 'pt'})
 7 adjusted_phenotypes = spark.createDataFrame(apdf)
9 wgr_gwas = variant_df.join(adjusted_phenotypes, ['contigName']).select(
10 'contigName',
11 'start',
12 'names',
13 'trait',
14 expand_struct(linear_regression_gwas(
15
     col('values'),
16
     col('pt'),
17
     lit(covariates.to_numpy())
18 )))
```

Fig. 6.5: Updating phenotypes with the WGR results and running a GWAS using the built-in association test methods from Glow

6.1.3 Join us and try whole genome regression in Glow!

Whole genome regression is available in Glow, which is an open source project hosted on Github, with an Apache 2 license. You can get started with this notebook that shows how to use GloWGR on data from 1,000 Genomes, by reading the preprint, by reading our project docs, or you can create a fork of the repository to start contributing code today.

6.2 Glow 0.4 Enables Integration of Genomic Variant and Annotation Data

Author: Kiavash Kianfar June 9, 2020

Glow 0.4 was released on May 20, 2020. This blog focuses on the highlight of this release, the newly introduced capability to ingest genomic annotation data from the GFF3 (Generic Feature Format Version 3) flat file format. This release also includes other feature and usability improvements, which will be briefly reviewed at the end of this blog.

GFF3 is a sequence annotation flat file format proposed by the Sequence Ontology Project in 2013, which since has become the de facto format for genome annotation and is widely used by genome browsers and databases such as NCBI RefSeq and GenBank. GFF3, a 9-column tab-separated text format, typically carries the majority of the annotation data in the ninth column, called attributes, as a semi-colon-separated list of <tag>=<value> entries. As a result, although GFF3 files can be read as Spark DataFrames using Spark SQL's standard csv data source, the schema of the resulting DataFrame would be quite unwieldy for query and data manipulation of annotation data, because the whole list of attribute tag-value pairs for each sequence will appear as a single semi-colon-separated string in the attributes column of the DataFrame.

Glow 0.4 adds the new and flexible gff Spark SQL data source to address this challenge and create a smooth GFF3 ingest and query experience. While reading the GFF3 file, the gff data source parses the attributes column of the file to create an appropriately typed column for each tag. In each row, this column will contain the value corresponding to that tag in that row (or null if the tag does not appear in the row). Consequently, all tags in the GFF3 attributes column will have their own corresponding column in the Spark DataFrame, making annotation data query and manipulation much easier.

6.2.1 Ingesting GFF3 Annotation Data

Like any other Spark data source, reading GFF3 files using Glow's gff data source can be done in a single line of code. As an example, we can ingest the annotations of the Homo Sapiens genome assembly GRCh38.p13 from a GFF3 file (obtained from RefSeq ftp site) as shown below. Here, we have also filtered the annotations to chromosome 22 in order to use the resulting annotations_df DataFrame (Fig. 6.6) in continuation of our example. The annotations_df alias is for the same purpose as well.

In addition to reading uncompressed .gff files, the gff data source supports all compression formats supported by Spark's csv data source, including .gz and .bgz. It is strongly recommended to use splittable compression formats like .bgz instead of .gz for better parallelization of the read process.

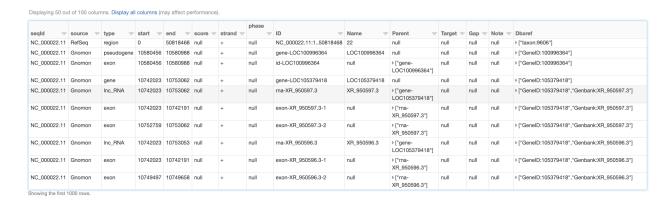


Fig. 6.6: A small section of the annotations_df DataFrame

6.2.2 Schema

Let us have a closer look at the schema of the resulting DataFrame, which was automatically inferred by Glow's gff data source:

```
annotations_df.printSchema()
```

```
root
 |-- seqId: string (nullable = true)
 |-- source: string (nullable = true)
 |-- type: string (nullable = true)
 |-- start: long (nullable = true)
 |-- end: long (nullable = true)
 |-- score: double (nullable = true)
 |-- strand: string (nullable = true)
 |-- phase: integer (nullable = true)
 |-- ID: string (nullable = true)
 |-- Name: string (nullable = true)
 |-- Parent: array (nullable = true)
      |-- element: string (containsNull = true)
 |-- Target: string (nullable = true)
 |-- Gap: string (nullable = true)
 |-- Note: array (nullable = true)
      |-- element: string (containsNull = true)
 |-- Dbxref: array (nullable = true)
      |-- element: string (containsNull = true)
 |-- Is_circular: boolean (nullable = true)
 |-- align_id: string (nullable = true)
 |-- allele: string (nullable = true)
 |-- transl_table: string (nullable = true)
 |-- weighted_identity: string (nullable = true)
```

This schema has 100 fields (not all shown here). The first eight fields (seqId, source, type, start, end, score, strand, and phase), here referred to as the "base" fields, correspond to the first eight columns of the GFF3 format cast in the proper data types. The rest of the fields in the inferred schema are the result of parsing the attributes

column of the GFF3 file. Fields corresponding to any "official" tag (those referred to as "tags with pre-defined meaning" in the GFF3 format description), if present in the GFF3 file, come first in appropriate data types. The official fields are followed by the "unofficial" fields (fields corresponding to any other tag) in alphabetical order. In the example above, ID, Name, Parent, Target, Gap, Note, Dbxref, and Is_circular are the official fields, and the rest are the unofficial fields. The gff data source discards the comments, directives, and FASTA lines that may be in the GFF3 file.

As it is not uncommon for the official tags to be spelled differently in terms of letter case and underscore usage across different GFF3 files, or even within a single GFF3 file, the gff data source is designed to be insensitive to letter case and underscore in extracting official tags from the attributes field. For example, the official tag Dbxref will be correctly extracted as an official field even if it appears as dbxref or dbx_ref in the GFF3 file. Please see Glow documentation for more details.

Like other Spark SQL data sources, Glow's gff data source is also able to accept a user-specified schema through the .schema command. The data source behavior in this case is also designed to be quite flexible. More specifically, the fields (and their types) in the user-specified schema are treated as the list of fields, whether base, official, or unofficial, to be extracted from the GFF3 file (and cast to the specified types). Please see the Glow documentation for more details on how user-specified schemas can be used.

6.2.3 Example: Gene Transcripts and Transcript Exons

With the annotation tags extracted as individual DataFrame columns using Glow's gff data source, query and data preparation over genetic annotations becomes as easy as writing common Spark SQL commands in the user's API of choice. As an example, here we demonstrate how simple queries can be used to extract data regarding hierarchical grouping of genomic features from the annotations_df created *above*.

One of the main advantages of the GFF3 format compared to older versions of GFF is the improved presentation of feature hierarchies (see GFF3 format description for more details). Two examples of such hierarchies are:

- Transcripts of a gene (here, gene is the "parent" feature and its transcripts are the "children" features).
- Exons of a transcript (here, the transcript is the parent and its exons are the children).

In the GFF3 format, the parents of the feature in each row are identified by the value of the parent tag in the attributes column, which includes the ID(s) of the parent(s) of the row. Glow's gff data source extracts this information as an array of parent ID(s) in a column of the resulting DataFrame called parent.

Assume we would like to create a DataFrame, called <code>gene_transcript_df</code>, which, for each gene on chromosome 22, provides some basic information about the gene and all its transcripts. As each row in the <code>annotations_df</code> of our example has at most a single parent, the <code>parent_child_df</code> DataFrame created by the following query will help us in achieving our goal. This query joins <code>annotations_df</code> with a subset of its own columns on the <code>parent_column</code> as the key. Fig. 6.7 shows a small section of <code>parent_child_df</code>.

```
from pyspark.sql.functions import *

parent_child_df = annotations_df \
.join(
    annotations_df.select('id', 'type', 'name', 'start', 'end').alias('parent_df'),
    col('annotations_df.parent')[0] == col('parent_df.id') # each row in annotation_df has_____
    at most one parent
) \
.orderBy('annotations_df.start', 'annotations_df.end') \
.select(
    'annotations_df.seqid',
    'annotations_df.type',
    'annotations_df.start',
    'annotations_df.end',
```

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```
'annotations_df.id',
'annotations_df.name',
col('annotations_df.parent')[0].alias('parent_id'),
col('parent_df.Name').alias('parent_name'),
col('parent_df.type').alias('parent_type'),
col('parent_df.start').alias('parent_start'),
col('parent_df.end').alias('parent_end')
) \
.alias('parent_child_df')
```

seqid	type	start	~	end	id	name	parent_id	parent_name	parent_type	parent_start ==	parent_end
NC_000022.11	exon	105804	66	10580988	id-LOC100996364	null	gene-LOC100996364	LOC100996364	pseudogene	10580456	10580988
NC_000022.11	exon	107420	23	10742191	exon-XR_950596.3-1	null	rna-XR_950596.3	XR_950596.3	Inc_RNA	10742023	10753053
NC_000022.11	exon	107420	23	10742191	exon-XR_950597.3-1	null	rna-XR_950597.3	XR_950597.3	Inc_RNA	10742023	10753062
NC_000022.11	Inc_RNA	107420	23	10753053	rna-XR_950596.3	XR_950596.3	gene-LOC105379418	LOC105379418	gene	10742023	10753062
NC_000022.11	Inc_RNA	107420	23	10753062	rna-XR_950597.3	XR_950597.3	gene-LOC105379418	LOC105379418	gene	10742023	10753062
NC_000022.11	exon	107494	97	10749658	exon-XR_950596.3-2	null	rna-XR_950596.3	XR_950596.3	Inc_RNA	10742023	10753053
NC_000022.11	exon	107527	59	10753053	exon-XR_950596.3-3	null	rna-XR_950596.3	XR_950596.3	Inc_RNA	10742023	10753053
NC_000022.11	exon	107527	59	10753062	exon-XR_950597.3-2	null	rna-XR_950597.3	XR_950597.3	Inc_RNA	10742023	10753062
NC_000022.11	exon	108589	94	10859105	id-LOC100289194	null	gene-LOC100289194	LOC100289194	pseudogene	10858994	10864475
NC_000022.11	exon	108596	94	10859832	id-LOC100289194-2	null	gene-LOC100289194	LOC100289194	pseudogene	10858994	10864475
NC_000022.11	exon	108617	34	10861890	id-LOC100289194-3	null	gene-LOC100289194	LOC100289194	pseudogene	10858994	10864475
NC_000022.11	exon	108633	37	10863581	id-LOC100289194-4	null	gene-LOC100289194	LOC100289194	pseudogene	10858994	10864475
NC_000022.11	exon	108637	21	10864475	id-LOC100289194-5	null	gene-LOC100289194	LOC100289194	pseudogene	10858994	10864475
NC_000022.11	exon	109405	96	10940707	exon-NR_132320.1-9	null	rna-NR_132320.1	NR_132320.1	transcript	10940596	10961529
NC_000022.11	transcript	109405	96	10961529	rna-NR_132320.1	NR_132320.1	gene-FRG1FP	FRG1FP	pseudogene	10940596	10961529

Fig. 6.7: A small section of the parent_child_df DataFrame

Having the parent_child_df DataFrame, we can now write the following simple function, called parent_child_summary, which, given this DataFrame, the parent type, and the child type, generates a DataFrame containing basic information on each parent of the given type and all its children of the given type.

```
from pyspark.sql.dataframe import *
def parent_child_summary(parent_child_df: DataFrame, parent_type: str, child_type: str) -
→> DataFrame:
 return parent_child_df \
    .select(
      'seqid',
      col('parent_id').alias(f'{parent_type}_id'),
      col('parent_name').alias(f'{parent_type}_name'),
      col('parent_start').alias(f'{parent_type}_start'),
      col('parent_end').alias(f'{parent_type}_end'),
      col('id').alias(f'{child_type}_id'),
      col('start').alias(f'{child_type}_start'),
      col('end').alias(f'{child_type}_end'),
    .where(f"type == '{child_type}' and parent_type == '{parent_type}'") \
    .groupBy(
      'seqid',
      f'{parent_type}_id',
      f'{parent_type}_name',
      f'{parent_type}_start',
      f'{parent_type}_end'
   ) \
    .agg(
```

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```
collect_list(
    struct(
        f'{child_type}_id',
        f'{child_type}_start',
        f'{child_type}_end'
    )
    ).alias(f'{child_type}s')
) \
.orderBy(
    f'{parent_type}_start',
    f'{parent_type}_end'
) \
.alias(f'{parent_type}_{child_type}_df')
```

Now we can generate our intended gene_transcript_df DataFrame, shown in Fig. 6.8, with a single call to this function:

```
gene_transcript_df = parent_child_summary(parent_child_df, 'gene', 'transcript')
```

seqid =	gene_id ==	gene_name =	gene_start =	gene_end =	transcripts
NC_000022.11	gene-GAB4	GAB4	16961935	17008281	b [{"transcript_id":"ma-XR_951190.2", "transcript_start":16964771, "transcript_end":17008280], ["transcript_id":"ma-XR_951191.2", "transcript_start":16966348, "transcript_end":17008281], ["transcript_id":"ma-XR_951191.2", "transcript_start":16962795, "transcript_end":17008227]]
NC_000022.11	gene-HDHD5	HDHD5	17137519	17165287	• [{"transcript_id":"ma-XR_002958689.1", "transcript_start":17137519, "transcript_end":17159277], ["transcript_id":"ma-XR_002958690.1", "transcript_start":17137519, "transcript_end":17159277]]
NC_000022.11	gene-CECR2	CECR2	17359948	17558155	["transcript_id":"rna-XR_951201.2","transcript_start":17369677, "transcript_end":17540429), ["transcript_id":"rna-XR_951200.2", "transcript_start":17369677, "transcript_end":17540430]
NC_000022.11	gene- SLC25A18	SLC25A18	17562469	17592256	h [{'transcript_id': "rna-XR_002958717.1', "transcript_start':17580174, 'transcript_end':17592256}, ['transcript_id': "rna-XR_951210.3', 'transcript_start':17562469, 'transcript_end':17592256]]
NC_000022.11	gene-BCL2L13	BCL2L13	17628854	17730855	h [{"transcript_id":"ma-XR_001755196.1", "transcript_start":17628854, "transcript_end":17727270], ["transcript_id":"ma-NR_073068.1", "transcript_start":17655661, "transcript_end":17730855], ["transcript_id":"ma-NR_073099.1", "transcript_start":17655661, "transcript_end":17730855]]
NC_000022.11	gene- TMEM191B	TMEM191B	18527801	18531920	> [['transcript_id':"rna-XR_951236.2","transcript_start":18527801,"transcript_end":18531920]]
NC_000022.11	gene-DGCR2	DGCR2	19036281	19122454	[('transcript_id':'ma-NR_033674.2', 'transcript_start':19036285, 'transcript_end':19122412), ('transcript_id':'ma-XR_001755406.2', 'transcript_start':19036285, 'transcript_end':19122412), ('transcript_id':'ma-XR_001755406.2', 'transcript_start':19036285, 'transcript_end':19122454)]
NC_000022.11	gene-ESS2	ESS2	19130278	19144726	» [{"transcript_id":"rna-NR_134304.2", "transcript_start":19130278, "transcript_end":19144651),["transcript_jd":"rna-XR_937926.2", "transcript_start":19133933, "transcript_end":19144685), ["transcript_id":"rna-XR_002958714.1", "transcript_start":19133933, "transcript_end":19144726]]

Fig. 6.8: A small section of the gene_transcript_df DataFrame

In each row of this DataFrame, the transcripts column contains the ID, start and end of all transcripts of the gene in that row as an array of structs.

The same function can now be used to generate any parent-child feature summary. For example, we can generate the information of all exons of each transcript on chromosome 22 with another call to the parent_child_summary function as shown below. Fig. 6.9 shows the generated transcript_exon_df DataFrame.

<pre>transcript_exon_df = parent_child_summary(parent_child_df, 'transcript', 'exon')</pre>

seqid	transcript_id =	transcript_name =	transcript_start =	transcript_end =	exons	\neg
NC_000022.11	ma- NR_132320.1	NR_132320.1	10940596	10961529	[*exon_id**exon-NR_132220.1-9*,*exon_start*:10940596,*exon_end*:1094077],*[*exon_id**exon-NR_132220.1-8*,*exon_start*:10940588,*exon_end*:1094078],*[*exon_id**exon-NR_132220.1-8*,*exon_start*:109405873],*exon_end*:109405873],*exon_id**exon-NR_132320.1-8*,*exon_start*:109409211,*exon_end*:10940268],*[*exon_id**exon-NR_132320.1-8*,*exon_start*:10950048,*exon_end*:10950174],*[*exon_id**exon-NR_132320.1-8*,*exon_start*:10950048,*exon_end*:10950174],*[*exon_id**exon-NR_132320.1-8*,*exon_start*:10950048,*exon_end*:10950174],*[*exon_id**exon-NR_132320.1-1*,*exon_start*:10950031,*exon_end*:10950174],*[*exon_id**exon-NR_132320.1-1*,*exon_start*:10950031,*exon_end*:10950174],*[*exon_id**exon-NR_132320.1-1*,*exon_start*:10950031,*exon_end*:10950174],*[*exon_id**exon-NR_132320.1-1*,*exon_start*:10950031,*exon_end*:10950174],*[*exon_id**exon-NR_132320.1-1*,*exon_start*:10950031,*exon_end*:10950174],*[*exon_id**exon-NR_132320.1-1*,*exon_start*:10950031,*exon_end*:10950174],*[*exon_id**exon-NR_132320.1-1*,*exon_start*:10950031,*exon_end*:10950174],*[*exon_id**exon-NR_132320.1-1*,*exon_start*:10950031,*exon_end*:10950174],*[*exon_id**exon-NR_132320.1-1*,*exon_start*:10950031,*exon_end*:10950174],*[*exon_id**exon-NR_132320.1-1*,*exon_start*:10950031,*exon_end*:10950174],*[*exon_id**exon-NR_132320.1-1*,*exon_start*:10950031,*exon_end*:10950174],*[*exon_id**exon-NR_132320.1-1*,*exon_start*:10950031,*exon_end*:10950174],*[*exon_id**exon-NR_132320.1-1*,*exon_start*:10950031,*exon_end*:10950174],*[*exon_id**exon-NR_132320.1-1*,*exon_start*:10950031,*exon_end*:10950174],*[*exon_id**exon_end*:10950174],*[*exon_id**exon-NR_132320.1-1*,*exon_start*:10950031,*exon_end*:10950174],*[*exon_id**exon_end*:10950174],*[*exon_id**exon_end*:10950174],*[*exon_id**exon_end*:10950174],*[*exon_id**exon_end*:10950174],*[*exon_id**exon_end*:10950174],*[*exon_id**exon_end*:10950174],*[*exon_id**exon_end*:10950174],*[*exon_id**exon_end*:10950174],*[*exon_id**exon_end*:10950174],*[*exon_id**exon_end*:10950174],*[*exon_id**exon_end*:10950174],*[*exon_id*	
NC_000022.11	ma- NR_122113.1	NR_122113.1	15784953	15827434	['exon_id':'exon-NR_122113.1-1','exon_start':15784953,'exon_end':15785057),['exon_id':'exon-NR_122113.1-2','exon_start':15787171,'exon_end':15787282), ['exon_id':'exon-NR_122113.1-3','exon_start':15788584], exon_end':15788593], ['exon_id':exon-NR_122113.1-4','exon_start':15788593], ['exon_id':exon-NR_122113.1-4','exon_start':15789508], exon_end':1578798], ['exon_id':exon-NR_122113.1-6','exon_start':15789508], exon_end':1578798], ['exon_id':exon-NR_122113.1-6','exon_start':15826141,'exon_end':15827434]]	
NC_000022.11	rna- NR_133911.1	NR_133911.1	15805697	15820884	• [("exon_id":"exon-NR_133911.1-3","exon_start":15805697,"exon_end":15806011), ("exon_id":"exon-NR_133911.1-2","exon_start":15813393, "exon_end":15813481), ("exon_id":"exon-NR_133911.1-1", "exon_start":15820620,"exon_end":15820884)]	
NC_000022.11	rna- NR_001591.1	NR_001591.1	16601910	16648830	• [["exon_id"*exon-NR_001591.1-1","exon_start":16601910,"exon_end":16602215], ["exon_id"*exon-NR_001591.1-2", exon_start":1661165,"exon_end":16611893, ["exon_id"*exon-NR_001591.1-2", exon_start":1661165,"exon_end":16611893, ["exon_id"*exon-NR_001591.1-4", exon_start":16611893, ["exon_id"*exon-NR_001591.1-6", exon_start":1662240, exon_end":1662240, ["exon_id"*exon-NR_001591.1-6", exon_start":166387039, exon_end":16638709), ["exon_id"*exon-NR_001591.1-6", exon_start":1663876, exon_end":16638740, ["exon_id"*exon-NR_001591.1-6", exon_start":16647682, exon_end":16647785), ["exon_id"*exon-NR_001591.1-6", exon_start":16647682, exon_end":16647785), ["exon_id"*exon-NR_001591.1-6", exon_start":16647682, exon_end":16647785), ["exon_id"*exon-NR_001591.1-6", exon_start":1664826, exon_end":1664830]	

Fig. 6.9: A small section of the transcript_exon_df DataFrame

6.2.4 Example Continued: Integration with Variant Data

Glow has *data sources to ingest variant data* from common flat file formats such as VCF, BGEN, and PLINK. Combining the power of Glow's variant data sources with the new gff data source, the users can now seamlessly annotate their variant DataFrames by joining them with annotation DataFrames in any desired fashion.

As an example, let us load the chromosome 22 variants of the 1000 Genome Project (on genome assembly GRCh38) from a VCF file (obtained from the project's ftp site). Fig. 6.10 shows the resulting variants_df.

contigName A	start	end $ riangle$	names	referenceAllele -	alternateAlleles 📤	qual	filters	splitFromMultiAllelic -	INFO_AC	INFO_NS -	INFO_AFR_AF	INFO_VT
22	10516172	10516173	0	A	▶["G"]	null	▶ ["PASS"]	false	▶[121]	2548	▶ [0.06]	▶ ["SNP"]
22	10522216	10522217	0	G	▶ ["A"]	null	▶ ["PASS"]	false	▶ [89]	2548	▶ [0.07]	▶ ["SNP"]
22	10526444	10526445	0	A	▶["G"]	null	▶ ["PASS"]	false	▶ [4948]	2548	▶ [0.93]	▶ ["SNP"]
22	10527033	10527034	0	G	▶ ["T"]	null	▶ ["PASS"]	false	▶ [271]	2548	▶[0.11]	▶ ["SNP"]
22	10527037	10527038	0	С	▶["G"]	null	▶ ["PASS"]	false	▶ [267]	2548	▶ [0.01]	▶["SNP"]
22	10527073	10527074	0	A	▶["G"]	null	▶ ["PASS"]	false	▶ [104]	2548	▶ [0]	▶ ["SNP"]
22	10530661	10530662	0	A	▶["G"]	null	▶ ["PASS"]	false	▶ [30]	2548	▶ [O]	▶ ["SNP"]
22	10530666	10530667	0	G	▶ ["A"]	null	▶ ["PASS"]	false	▶[1]	2548	▶ [0]	▶ ["SNP"]
22	10530666	10530668	0	GA	▶["G"]	null	▶ ["PASS"]	false	▶[4]	2548	▶ [0]	▶ ["INDEL"]
22	10530698	10530699	п	Α	▶["G"]	null	▶ ["PASS"]	false	▶[131]	2548	[P0 0] ∉	▶["SNP"]

Fig. 6.10: A small section of the variants_df DataFrame

Now using the following double-join query, we can create a DataFrame which, for each variant on a gene on chromosome 22, provides the information of the variant as well as the exon, transcript, and gene on which the variant resides (Fig. 6.11). Note that the first two exploded DataFrames can also be constructed directly from parent_child_df. Here, since we had already defined gene_transcrip_df and transcript_exon_df, we generated these exploded DataFrames simply by applying the explode function followed by Glow's *expand_struct* function on them.

```
from glow.functions import *
gene_transcript_exploded_df = gene_transcript_df \
  .withColumn('transcripts', explode('transcripts')) \
  .withColumn('transcripts', expand_struct('transcripts')) \
  .alias('gene_transcript_exploded_df')
transcript_exon_exploded_df = transcript_exon_df \
  .withColumn('exons', explode('exons')) \
  .withColumn('exons', expand_struct('exons')) \
  .alias('transcript_exon_exploded_df')
variant_exon_transcript_gene_df = variants_df \
.join(
  transcript_exon_exploded_df,
  (variants_df.start < transcript_exon_exploded_df.exon_end) &</pre>
  (transcript_exon_exploded_df.exon_start < variants_df.end)</pre>
) \
.join(
  gene_transcript_exploded_df,
```

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```
transcript_exon_exploded_df.transcript_id == gene_transcript_exploded_df.transcript_id
.select(
  col('variants_df.contigName').alias('variant_contig'),
  col('variants_df.start').alias('variant_start'),
  col('variants_df.end').alias('variant_end'),
  col('variants_df.referenceAllele'),
  col('variants_df.alternateAlleles'),
  'transcript_exon_exploded_df.exon_id',
  'transcript_exon_exploded_df.exon_start',
  'transcript_exon_exploded_df.exon_end',
  'transcript_exon_exploded_df.transcript_id',
  'transcript_exon_exploded_df.transcript_name'
  'transcript_exon_exploded_df.transcript_start',
  'transcript_exon_exploded_df.transcript_end',
  'gene_transcript_exploded_df.gene_id',
  'gene_transcript_exploded_df.gene_name'
  'gene_transcript_exploded_df.gene_start',
  'gene_transcript_exploded_df.gene_end'
) \
.orderBy(
  'variant_contig',
  'variant_start',
  'variant_end'
```

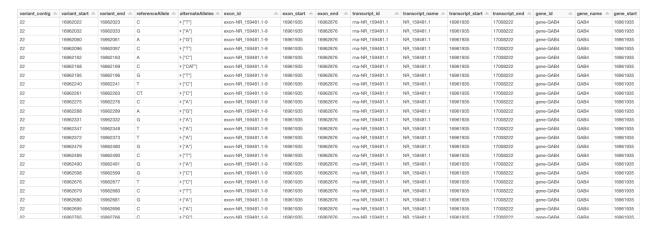


Fig. 6.11: A small section of the variant_exon_transcript_gene_df DataFrame

6.2.5 Other Features and Improvements

In addition to the new gff reader, Glow 0.4 introduced other features and improvements. A new function, called mean_substitute, was introduced, which can be used to substitute the missing values of a numeric Spark array with the mean of the non-missing values. The normalize_variants transformer now accepts reference genomes in bgzipped fasta format in addition to the uncompressed fasta. The VCF reader was updated to be able to handle reading file globs that include tabix index files. In addition, this reader no longer has the splitToBiallelic option. The split_multiallelics transformer introduced in Glow 0.3 can be used instead. Also, the pipe transformer was improved so that it does not pipe empty partitions. As a result, users do not need to repartition or coalesce when piping VCF files. For a complete list of new features and improvements in Glow 0.4, please refer to Glow 0.4 Release Notes.

6.2.6 Try It!

Try Glow 0.4 and its new features here.

6.3 Glow 0.3.0 Introduces Several New Large-Scale Genomic Analysis Features

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March 2, 2020

Glow 0.3.0 was released on February 21, 2020, improving Glow's power and ease of use in performing large-scale genomic analysis. In this blog, we highlight features and improvements introduced in this release.

6.3.1 Python and Scala APIs for Glow SQL functions

In this release, Python and Scala APIs were introduced for all Glow SQL functions, similar to what is available for Spark SQL functions. In addition to improved simplicity, this provides enhanced compile-time safety. The SQL functions and their Python and Scala clients are generated from the same source so any new functionality in the future will always appear in all three languages. Please refer to *PySpark Functions* for more information on Python APIs for these functions. As an example, the usage of such Python and Scala APIs for the function normalize_variant is presented at *the end of next section*.

6.3.2 Improved variant normalization

The variant normalizer received a major improvement in this release. It still behaves like beftools norm and vt normalize, but is about 2.5x faster and has a more flexible API. Moreover, the new normalizer is implemented as a function in addition to a transformer.

normalize_variants **transformer**: The improved transformer preserves the columns of the input DataFrame, adds the normalization status to the DataFrame, and has the option of adding the normalization results (including the normalized coordinates and alleles) to the DataFrame as a new column. As an example, assume we read the original_variants_df DataFrame shown in Fig. 6.12 by issuing the following command:

```
original_variants_df = spark.read \
   .format("vcf") \
```

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```
.option("includeSampleIds", False) \
.load("/databricks-datasets/genomics/call-sets")
```

original_	variants_df:	pyspark.sc	ql.datafran	ne.DataFrame = [contigNar	ne: string, start: long 11 more fiel	ds]					
ontigName	start		++- names	referenceAllele	alternateAlleles qual				INFO_AF	+ INFO_AC	genotyp
chr20	1259781	1259782	[]	G	[GATCTTCCCTCTTTTC 30.0	[][false				false, [1, 1]
chr20	19285486	19285490	[] []	AAAA	[A] 30.0	[]	false	2	[0.25]	[1][[,	false, [0, 1]
chr20	19285499	19285500	[]	A	[C] 30.0	[][false	2	[0.5]	[2][[,	false, [1, 1]
chr20	19883344	19883345	[]	T	[TT, C] 30.0	[]	false	4	[0.25, 0.25]	[1, 1] [[,	false, [0, 1]
chr20	19883388	19883392	[]	GAGT	[GA] 30.0	[] [false	2	[0.5]	[2][[,	false, [1, 1]
chr20	19883396	19883400	[]	CGGA	[CA] 30.0	[][false	2	[0.5]	[2][[,	false, [1, 1]
chr20	19883411	19883412	[]	T	[TC, C] 30.0	[]	false	4	[0.5, 0.25]	[2, 1] [[,	false, [0, 1]
chr20	19885710	19885717	[] []	AAGAAAA	[AA] 30.0	[][false	2	[0.5]	[2][[,	false, [1, 1]
chr20	63669972	63669973	[]	G	[GGACAGACGTTTCGCC 30.0	[]	false	2	[0.5]	[2][[,	false, [1, 1]
chr20	64012186	64012482	[] T	ACACCTACGAGAGGAG	[T] 30.0	[] [false	2	[0.5]	[2][[,	false, [1, 1]
chr21	8405578	8405579	[]	G	[GTGTGTG] 30.0	[][false	2	[0.5]	[2][[,	false, [1, 1]
chr21	10382394	10382395	[]	T	[TTT] 30.0	[]	false	2	[0.5]	[2][[,	false, [1, 1]
chr21	10388248	10388252	[]	GAAG	[G] 30.0	[]	false	2	[0.25]	[1][[[,	false, [0, 1]
chr21	10804283	10804284	[]	T	[TGC] 30.0	[]]	false	2	[0.25]	[1][[[,	false, [0, 1]
chr21	13255295	13255296	[]	A	[G] 30.0	[]	false	2	[0.5]	[2][[,	false, [1, 1]
chr21	13255300	13255303	[]	AAA	[A] 30.0	[][false	2	[0.5]	[2][[,	false, [1, 1]
chr21	39584005	39584051	[][0	ттсссттсссттссст	[C] 30.0	[]	false	4	[0.75]	[3][[,	false, [1, 1]

Fig. 6.12: The variant DataFrame original_variants_df

The improved normalizer transformer can be applied on this DataFrame using the following command similar to the previous version of the normalizer:

no	ormalized_variants_d	df.show()											
▶ (1) Spark Jobs												
▶ 8	normalized_variants_d	f: pyspark.	sql.data	frame.DataFrame = [contig	Name: string, start: long 12 more	e fields]							
+		+-	+-	+			+		+				
	ntigName start	end n		referenceAllele	alternateAlleles qual			-	INFO_AF			0 7 7	ormalizationStatus
+ 	chr20 1259649 1		[][[ATTTGAGATCTTCCCT 30.0	[]]	false	4	[1.0]			[1, 1]	[true,]
	chr20 19285476 19	285480	[]	CAAA	[C] 30.0	[]	false	2	[0.25]	[1] [[,	false,	[0, 1]	[true,]
	chr20 19285499 19	285500	[]	A	[C] 30.0	[]]	false	2	[0.5]	[2] [[,	false,	[1, 1]	[false,]
	chr20 19883344 19	883345	[]	T	[TT, C] 30.0	[]]	false	4 [0	0.25, 0.25]	[1, 1] [[,	false,	[0, 1]	[false,]
	chr20 19883389 19	9883392	[]	AGT	[A] 30.0	[]]	false	2	[0.5]	[2] [[,	false,	[1, 1]	[true,]
	chr20 19883396 19	883399	[]	CGG	[C] 30.0	[][false	2	[0.5]	[2] [[,	false,	[1, 1]	[true,]
	chr20 19883411 19	9883412	[]	T	[TC, C] 30.0	[]]	false	4	[0.5, 0.25]	[2, 1] [[,	false,	[0, 1]	[false,]
	chr20 19885701 19	9885707	[]	CGAAAA	[C] 30.0	[]]	false	2	[0.5]	[2] [[,	false,	[1, 1]	[true,]
	chr20 63669643 63	8669644	[]	A	[ACCAAGATGGGTGGAA 30.0	[][false	2	[0.5]	[2] [[,	false,	[1, 1]	[true,]
	chr20 64011804 64	1012100	[][7	GGCTTCTTTCTTTGCT	[T] 30.0	[]	false	2	[0.5]	[2] [[,	false,	[1, 1]	[true,]
	chr21 8405572 8	3405573	[]	A	[ATGTGTG] 30.0	[] [false	2	[0.5]	[2] [[,	false,	[1, 1]	[true,]
	chr21 10382388 16	382389	[]	A	[ATT] 30.0	[] [false	2	[0.5]	[2] [[,	false,	[1, 1]	[true,]
i	chr21 10388232 16	388236	[]	GGAA	[G] 30.0	[] [false	2	[0.25]	[1] [[,	false,	[0, 1]	[true,]
	chr21 10804283 16	804284	[]	Τİ	[TGC] 30.0	[] [false	2	[0.25]	[1] [[,	false,	[0, 1]	[false,]
i	chr21 13255295 13	3255296	[]	A I	[G] 30.0	[] [false	2	[0.5]	[2] [[,	false,	[1, 1]	[false,]
i	chr21 13255288 13	3255291	[]	CAA	[C][30.0]	ni	false	2	[0.5]			[1, 1]	[true,]
i	chr21 39583816 39	9583862	[][0	TCCCTTCCCTTCCCTT	[C][30.0]	mi	false	4	[0.75]	[3][[[,	false,	[1, 1]	[true,]
			+-										

Fig. 6.13: The normalized DataFrame $normalized_variants_df$

The output DataFrame of this improved transformer looks like Fig. 6.13. The start, end, referenceAllele, and alternateAlleles fields are updated with the normalized values and a normalizationStatus column is added to the DataFrame. This column contains a changed subfield indicating whether normalization changed the variant and an errorMessage subfield containing the error message in case of an error.

The newly introduced replace_columns option can be used to add the normalization results as a new column to the

DataFrame instead of replacing the original start, end, referenceAllele, and alternateAlleles fields. This can be done as follows:

normal	ized_norepla	ce_variant	s_df.sl	how()										
▶ (1) Spa	k Jobs													
					ne = [contigName: string, start: lo	0								
contigN	ame start	end	names	referenceAllele		l filters sp	olitFromMultiAllelic	INFO_AN	INFO_AF	INFO_AC		genotypes	normaliza	tionResult
	r20 1259781		[]		[GATCTTCCCTCTTTTC 30.		false	4	[1.0]					1259650
ch	r20 19285486	6 19285490	[]]	AAAA	[A] 30.	0 []	false	2	[0.25]	[1] [[,	false,	[0, 1]	[19285476,	192854
ch	r20 19285499	9 19285500	[]]	A	[C] 30.	0 []	false	2	[0.5]	[2] [[,	false,	[1, 1]	[19285499,	192855
ch	r20 19883344	1 19883345	[]]	T	[TT, C] 30.	0 []	false	4	[0.25, 0.25]	[1, 1] [[,	false,	[0, 1]	[19883344,	198833
ch	r20 19883388	3 19883392	[]]	GAGT	[GA] 30.	0 []	false	2	[0.5]	[2] [[,	false,	[1, 1]	[19883389,	198833
ch	r20 19883396	[19883400]	[][CGGA	[CA] 30.	0 []	false	2	[0.5]	[2] [[,	false,	[1, 1]	[19883396,	198833
ch	r20 19883411	1 19883412	[][T	[TC, C] 30.	0 []	false	4	[0.5, 0.25]	[2, 1] [[,	false,	[0, 1]	[19883411,	198834
ch	r20 19885716	19885717	[][AAGAAAA	[AA] 30.	0 []	false	2	[0.5]	[2] [[,	false,	[1, 1]	[19885701,	198857
ch	r20 63669972	2 63669973	[]]	G	[GGACAGACGTTTCGCC 30.	0 []	false	2	[0.5]	[2] [[,	false,	[1, 1]	[63669643,	636696
ch	r20 64012186	6 64012482	[][TACACCTACGAGAGGAG	[T] 30.	0 []	false	2	[0.5]	[2] [[,	false,	[1, 1]	[64011804,	640121
ch	r21 8405578	8405579	[][G	[GTGTGTG] 30.	0 []	false	2	[0.5]	[2] [[,	false,	[1, 1]	[8405572,	8405573
ch	r21 10382394	10382395	[][T	[TTT] 30.	0 []	false	2	[0.5]	[2] [[,	false,	[1, 1]	[10382388,	103823
ch	r21 10388248	3 10388252	[]]	GAAG	[G] 30.	0 []	false	2	[0.25]	[1] [[,	false,	[0, 1]	[10388232,	103882
ch	r21 10804283	3 10804284	[][T	[TGC] 30.	0 []	false	2	[0.25]	[1] [[,	false,	[0, 1]	[10804283,	108042
ch	r21 13255295	[13255296]	[][A	[G] 30.	0 []	false	2	[0.5]	[2] [[,	false,	[1, 1]	[13255295,	132552
ch	r21 13255306	13255303	[]]	AAA	[A] 30.	0 []	false	2	[0.5]	[2] [[,	false,	[1, 1]	[13255288,	132552
ch	r21 39584005	39584051	[][0	CTTCCCTTCCCTTCCCT	[C] 30.	0 []	false	4	[0.75]	[3] [[,	false,	[1, 1]	[39583816,	395838
+	+	++	+			-+		+	+	+		+		+

Fig. 6.14: The normalized DataFrame normalized_noreplace_variants_df with normalization results added as a new column

The resulting DataFrame will be as shown in Fig. 6.14, where a normalizationResults column containing the normalized start, end, referenceAllele, alternateAlleles, and normalizationStatus subfields is added to the DataFrame.

We also note that since the multiallelic variant splitter is implemented as a separate transformer in this release (see below), the mode option of the normalize_variants transformer is deprecated. Refer to *Variant Normalization* for more details on the normalize_variants transformer.

normalize_variant **function**: As mentioned *above*, in this release, variant normalization can also be performed using the newly introduced **normalize_variant** SQL expression function as shown below:

As discussed in the previous *section*, this SQL expression function, like any other in Glow, now has Python and Scala APIs as well. Therefore, the same can be done in Python as follows:

```
from glow.functions import normalize_variant
function_normalized_variants_df = original_variants_df.withColumn( \
    "normalizationResult", \
    normalize_variant( \
        "contigName", \
```

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```
"start", \
   "end", \
   "referenceAllele", \
   "alternateAlleles", \
   "/mnt/dbnucleus/dbgenomics/grch38/data/GRCh38_full_analysis_set_plus_decoy_hla.fa" \
   ) \
)
```

and in Scala as well, assuming original_variant_df is defined in Scala:

```
import io.projectglow.functions.normalize_variant
import org.apache.spark.sql.functions.col
val function_normalized_variants_df = original_variants_df.withColumn(
    "normalizationResult",
    normalize_variant(
        col("contigName"),
        col("start"),
        col("end"),
        col("referenceAllele"),
        col("alternateAlleles"),
        "/mnt/dbnucleus/dbgenomics/grch38/data/GRCh38_full_analysis_set_plus_decoy_hla.fa"
    )
)
```

The result of any of the above commands will be the same as Fig. 6.14.

6.3.3 A new transformer to split multiallelic variants

This release also introduced a new DataFrame transformer, called <code>split_multiallelics</code>, to split multiallelic variants into biallelic ones with a behavior similar to vt decompose with <code>-s</code> option. This behavior is significantly more powerful than the behavior of the previous version's splitter which behaved like GATK's <code>LeftAlignAndTrimVariants</code> with <code>--split-multi-allelics</code>. In particular, the array-type <code>INFO</code> and genotype fields with elements corresponding to reference and alternate alleles are split "smart"ly (see <code>-s</code> option of vt decompose) into biallelic rows. So are the array-type genotype fields with elements sorted in colex order of genotype calles, e.g., the GL, PL, and GP fields in the VCF format. Moreover, an <code>OLD_MULTIALLELIC INFO</code> field is added to the <code>DataFrame</code> to store the original multiallelic form of the split variants.

The following is an example of using the split_multiallelic transformer on the original_variants_df. The resulting DataFrame is as in Fig. 6.15.

```
import glow
split_variants_df = glow.transform("split_multiallelics", original_variants_df)
```

Please note that the new splitter is implemented as a separate transformer from the normalize_variants transformer. Previously, splitting could only be done as one of the operation modes of the normalize_variants transformer using the now-deprecated mode option.

Please refer to the *documentation of the split_multiallelics transformer* for complete details on the bahavior of this new transformer.

(1) Spark Jo														
	, .				string, start: long 12 more fields		+	+		+				
ontigName	start	end na	mes	referenceAllele	alternateAlleles qual	filters	splitFromMultiAllelic IN	O_AN	NFO_AF II	NFO_AC	INFO_OLD_MULTIALLELIC		ger	notype
chr20	1259781 12	 259782	[]]	GI	GATCTTCCCTCTTTTC 30.0	I []	false	41	[1.0]	[1]	nulli	[, false	e, [1.	. 11
	19285486 192		ni	AAAA	[A] 30.0		false	2	[0.25]	[1]		[, false		
chr20	19285499 192	285500	[]	Αİ	[C] 30.0		false	2	[0.5]]	[2]		[, false		
chr20	19883344 198	883345	[] [Τİ	[TT] 30.0	i ()	true	4	[0.25]	[1]	chr20:19883345:T/	[, false	e, [0,	, 1]
chr20	19883344 198	883345	[] [TÍ	[C] 30.0	i :::i	true	4	[0.25]	[1]	chr20:19883345:T/	[, false	e, [0,	, -1
chr20	19883388 198	383392	[] [GAGT	[GA] 30.0	i [] i	false	2	[0.5]	[2]	null	[, false	e, [1,	, 1]
chr20	19883396 198	883400	[] []	CGGA	[CA] 30.0	[]	false	2	[0.5]	[2]	null	[, false	e, [1,	, 1]
chr20	19883411 198	383412	[][T	[TC] 30.0	i :::i	true	4	[0.5]	[2]	chr20:19883412:T/	[, false	e, [0,	, 1]
chr20	19883411 198	883412	[] [Τİ	[C] 30.0	i (1)	true	4	[0.25]	[1]	chr20:19883412:T/	[, false	e, [0,	, -1
chr20	19885710 198	885717	[] [AAGAAAA	[AA] 30.0	[]	false	2	[0.5]	[2]	null	[, false	e, [1,	, 1]
chr20	63669972 636	669973	[][G	[GGACAGACGTTTCGCC 30.0	[]	false	2	[0.5]	[2]	null	[, false	e, [1,	, 1]
chr20	64012186 646	12482	[] [T/	ACACCTACGAGAGGAG	[T] 30.0	i ()	false	2	[0.5]	[2]	null	[, false	e, [1,	, 1]
chr21	8405578 84	105579	[] []	G	[GTGTGTG] 30.0	[]	false	2	[0.5]	[2]	null	[, false	e, [1,	, 1]
chr21	10382394 103	882395	[]	T	[TTT] 30.0	[]	false	2	[0.5]	[2]	null	[, false	e, [1,	, 1]
chr21	10388248 103	888252	[] []	GAAG	[G] 30.0	i ()	false	2	[0.25]	[1]	null	[, false	е, [0,	, 1]
chr21	10804283 108	304284	[]	Τİ	[TGC] 30.0	[]	false	2	[0.25]	[1]	null	[, false	e, [0,	, 1]
chr21	13255295 132	255296	[]	A	[G] 30.0	[]	false	2	[0.5]	[2]	null	[, false	e, [1,	, 1]
chr21	13255300 132	255303	[][AAA	[A] 30.0	[]	false	2	[0.5]	[2]	null	[, false	e, [1,	, 1].
ab a 2.1 l	39584005 395	584051 I	LITTO	TTCCCTTCCCTTCCCT	[C] 30.0	i :::i	false	41	[0.75]	[3]	null!	[, false	о Г1	1.7

Fig. 6.15: The split DataFrame split_variants_df

6.3.4 Parsing of Annotation Fields

The VCF reader and pipe transformer now parse variant annotations from tools such as SnpEff and VEP. This flattens the ANN and CSQ INFO fields, simplifying and accelerating queries on annotations. See the following query and its result in Fig. 6.16 for an example.

```
from pyspark.sql.functions import expr
variants_df = spark.read\
    .format("vcf")\
    .load("dbfs:/databricks-datasets/genomics/vcfs/loftee.vcf")
annotated_variants_df = original_variants_df.withColumn( \
    "Exploded_INFO_CSQ", \
    expr("explode(INFO_CSQ)") \
) \
    .selectExpr("contigName", \
        "start", \
        "end", \
        "referenceAllele", \
        "alternateAlleles", \
        "expand_struct(Exploded_INFO_CSQ)", \
        "genotypes" \
)
```

ernotated_variants_df.s	how()																									
+ C) Spek Jobs																										
* III original variants of: pr	sperk.spl.datahame.DataFr	ame i (contoNam	e: string, start; is	long 35 more fields)																						
> III arrested variets di	revenuels and eletefrorms Date	ofrana – Investosti	sener atrinos star	rt Jone - 31 more fields)																						
contigName start	end referenceAllel				e IMPACT S	YMBOL Gene	Feature_type	Feature				Sp c0MA_position CDS				Codons Existing					959C_ID LoF Lot		ings	LoF_info		genotypes
22 29 99 16 7 29		CI				CHEK2 ENSGROOM 183765			protein_coding[[11				12591		[C, Y]][tge		mutti		-1 mult		16627 [ru]]]		multi (EXXIN.STAR			
22 29891697 29	091698	0	[7] 7	[[missense_variant	[MODERATE]	OHD(2 DISSOURCE 183765	Transcript DISTI	00000346295	protein_coding [10	[4] mult	t nett ne	11 1244	1172	391	[C, Y]][t6	tAc]	mult	null	-1 mull	14990	16627[null]	null	nutl [ERANCHPOI	NT_OIST [[[HC000006,	true,
22 29 99 16 7 29	091698	CI	[7] 7	[intron_variant]	MODIFIER	CHEK2 ENSG00000183765	Transcript ESSTI	10000382565	protein_coding	null [2, 4]	II rell! re'	111 multi	null	rell	nutti	mull	mall	null	-11 multi	HONE	16627 [coll]	multi	null!	7317740	(Hosesse,	true
22 29 09 16 07 29	091698	0	[7] 7	[[splice_region_va	LOW	OHD(2 DH5G00000183765	Transcript DISTI	00000302566	protein_coding [10	, 14] mull	t nett ne	11 1269	nutt	null	null	mull	mult	null	-1 mull	19990	16627[null]	nutt	mult]	mult][[(HC00006,	true,
22 29991697 29		C				CHEX2 ENSG00000183765			protein_coding [9				986	329	[C, Y] [t64	tac]	mult		-1 mull		16627 [rull]		null [MUTANT_DO	WOR_MES [[CHOSSOSS,	true,
22 29 09 16 07 29	091698	C	(T) T	[[missense_variant	MODERATE 4	CHEK2 ENSG00000183765	Transcript ENSTI	00000382580	protein_coding [12	, 16] mull	t nott no	11 1464	1366	463	[C, Y]][tGs	, tAc)	mutt	rull	-1 null	HGHC	16627 [rull]	mult	mult [EXON_STAR	rT:29991 [/	(HC00006,	true,
22 29891697 29	091698	C	[T]] T	[missense_variant	HODERATE 1	CHD(2 D45G00000183765	Transcript Disti	00000402731	protein_coding [9.	, 13] mull	t nett ne	11 1172	1172	391	[C, Y]][t6	tAc]	mult	null	-1 null	HGHC	16627 [rull]	null	nutl [DONOR_ESS	::34, IN[[[[HC000006,	true,
22 29991697 29	091698	0	(T) T	(missense_variant	HODERATE	CHEK2 ENSGRORGE 183765	Transcript ENSTI	10000403642	protein_coding [8	12] mull	t rett re'		986	329	[C, Y]][t0:	the)	mult	rull	-1 mull	HONG	16627 [rull]		null (DONOR_ISS			
22 29 09 16 07 29	091698	C	[7]] 7	[[missense_variant	. #00CRATE 1	CHD(2 D45G00000183765	Transcript Disti	0000404276	protein_coding [10	, 14] mull	t nett ne	11 1259	1259	420	[C, Y]][t6	, tAc]	mutt	null	-1 null	HGHC	16627 [rull]	null	null [EXON_STAR	rT:29091[[[[HC00096,	true,
22 29891697 29		C)	[Y] Y	[[missense_variant		CHEX2 ENSG00000183765			protein_coding [12				1259	428	[C, Y]][t64	, tAc]	mull	rell	-1 soll		16627 [coll]		null[DONOR_ESS			
22 29 09 16 97 29	091698	C	(T) T	[[splice_region_va	. LDW	CHEK2 ENSG00000183765	Transcript DISTI	00000416671 nons	sense_mediated [12	, 16] mull	t nett ne	11 2033	nutt	null	mult	mull	mutt	rull	-1 null	HGHC	16627 [rull]	mult	nutt	mult [[(HC00096,	true,
22 29 29 1697 29		C]	[T] T	[[splice_region_va		OHEK2 ENSG00000183765			sense_mediated [9	, 13] mull	t nell ne		null	nell	null	mell	mult	null	-1 mull		16627 [coll]		null			true,
22 29 99 16 97 29	091698	C	(T) T	(downstream_gene	MODIFIER	CHEK2 EH5000000183765	Transcript ENSTI	0000425150	protein_coding	nult null	t nott no	11 mult	nutt	rell	mult	mult!	mutt	1256	-1 (cds_end_NF)	HONC	16627 [rull]	mult	mult!			true,
22 29991697 29		C				OHD(2 DISS00000183765					t nett ne		null	nell	null	mull	mult	1192	-1 mull		16627[null]		multi			true,
22 29891697 29		C)	[T] T	[[splice_region_va	- LOW	CHEK2 ENSG00000183765	Transcript ESSTI	10000433728 nons	sense_mediated [9	, 13] mull	l nell ne		null	rell	null	mull	mult	rull	-1 null	HONC	16627 [coll]		null			true,
22 29991697 29		0		[[stop_gained, spl		OHDK2 DMSG00000183765			protein_coding [6				491	164		, tAg]	mult	null	-1 [cds_start_NF]		16627 HC		nutt [GERP_DIST			
22 29891697 29		C)				CHEX2 ENSG00000183765			sense_mediated	null null	t nell ne		null	nell	null	mell	mult	4654	-1 [ods_start_NF]		16627 null	null	null			true,
22 29991697 29		0				CHEX2 ENSG00000183765					t nett ne		null	rell	mult	mult	mult	1155	-1 [cds_end_NF]		16627[mull]		mult			true,
22 29891697 29		C	[T] T	[[splice_region_va		CHD(2 D15G00000183765			sense_mediated [10	. 14] mull	t nett ne		null	nell	null	mull	mult	null	-1 mull		16627[rull]		multi			true,
22 29 99 169 7 29	091698	C	[T] T	[intron_variant]	MODIFIER	CHEK2 EHS000000183765	Transcript EXSTI	10000456369	protein_coding	null [4, 5]] rell re	11 mult	null	rell	null	mult	mult	rull	-1 [ods_start_MF]	HONG	16627 [rull]	null	mult	mall (f	(HC00000,	true,

Fig. 6.16: The annotated DataFrame annotated_variants_df with expanded subfields of the exploded INFO_CSQ

6.3.5 Other Improvements

Glow 0.3.0 also includes optimized implementations of the linear and logistic regression functions, resulting in ~50% performance improvements. See the documentation at *Linear regression* and *Logistic regression*.

Furthermore, the new release supports Scala 2.12 in addition to Scala 2.11. The maven artifacts for both Scala versions are available on Maven Central.

6.3.6 Try It!

Try Glow 0.3.0 and its new features here.

6.4 Streamlining Variant Normalization on Large Genomic Datasets

Author: Kiavash Kianfar November 20, 2019

Many research and drug development projects in the genomics world involve large genomic variant data sets, the volume of which has been growing exponentially over the past decade. However, the tools to extract, transform, load (ETL) and analyze these data sets have not kept pace with this growth. Single-node command line tools or scripts are very inefficient in handling terabytes of genomics data in these projects. In October of this year, Databricks and the Regeneron Genetics Center partnered to introduce the open-source project Glow, which provides powerful genomics tools based on Apache Spark in order to address this issue.

In large cross-team research or drug discovery projects, computational biologists and bioinformaticians usually need to merge very large variant call sets in order to perform downstream analyses. In a prior post, we showcased the power and simplicity of Glow in ETL and merging of variant call sets from different sources using Glow's VCF and BGEN Data Sources at unprecedented scales. Differently sourced variant call sets impose another major challenge. It is not uncommon for these sets to be generated by different variant calling tools and methods. Consequently, the same genomic variant may be represented differently (in terms of genomic position and alleles) across different call sets. These discrepancies in variant representation must be resolved before any further analysis on the data. This is critical for the following reasons:

- 1. To avoid incorrect bias in the results of downstream analysis on the merged set of variants or waste of analysis effort on seemingly new variants due to lack of normalization, which are in fact redundant (see Tan et al. for examples of this redundancy in 1000 Genome Project variant calls and dbSNP)
- 2. To ensure that the merged data set and its post-analysis derivations are compatible and comparable with other public and private variant databases.

This is achieved by what is referred to as variant normalization, a process that ensures the same variant is represented identically across different data sets. Performing variant normalization on terabytes of variant data in large projects using popular single-node tools can become quite a challenge as the acceptable input and output of these tools are the flat file formats that are commonly used to store variant calls (such as VCF and BGEN). To address this issue, we introduced the variant normalization transformation into Glow, which directly acts on a Spark Dataframe of variants to generate a DataFrame of normalized variants, harnessing the power of Spark to normalize variants from hundreds of thousands of samples in a fast and scalable manner with just a single line of Python or Scala code. Before addressing our normalizer, let us have a slightly more technical look at what variant normalization actually does.

6.4.1 What does variant normalization do?

Variant normalization ensures that the representation of a variant is both "parsimonious" and "left-aligned." A variant is parsimonious if it is represented in as few nucleotides as possible without reducing the length of any allele to zero. An example is given in Fig. 6.17.

Actual variation	Reference Alternat	•	AAATCGCGTTA AAA <mark>GT</mark> GCGTTA			
	DOC 3	REF	ATCG			
	POS 3	ALT	AGTG			
Some non-parsimonious	POS 3	REF	ATC			
presentations	POS 3	ALT	AGT			
	POS 4	REF	TCG			
	POS 4	ALT	GTG			
Parsimonious	DOG 4	REF	TC			
presentation	POS 4	ALT	GT			

Fig. 6.17: Variant parsimony

A variant is left-aligned if its position cannot be shifted to the left while keeping the length of all its alleles the same. An example is given in Fig. 6.18.

Actual variation	Reference Alternat	•	AAAGCGCCTT AAAGCGCTT	→ Deletion of one GC
	POS 7	REF	CGC	
	POS /	ALT	С	_
Some non-left-aligned	POS 5	REF	CGC	
presentations	POS 5	ALT	С	
	POS 4	REF	GCGCGC	
	PO5 4	ALT	GCGC	_
Normalized (left-aligned and	DOG 3	REF	AGC	
parsimonious) presentation	POS 3	ALT	A	_

Fig. 6.18: Left-aligned variant

Tan et al. have proved that normalization results in uniqueness. In other words, two variants have different normalized representations if and only if they are actually different variants.

6.4.2 Variant normalization in Glow

We have introduced the normalize_variants transformer into Glow (Fig. 6.19). After ingesting variant calls into a Spark DataFrame using the VCF, BGEN or Delta readers, a user can call a single line of Python or Scala code to normalize all variants. This generates another DataFrame in which all variants are presented in their normalized form. The normalized DataFrame can then be used for downstream analyses like a GWAS using our built-in regression functions or an efficiently-parallelized GWAS tool.

The normalize_variants transformer brings unprecedented scalability and simplicity to this important upstream process, hence is yet another reason why Glow and Databricks UAP for Genomics are ideal platforms for biobank-

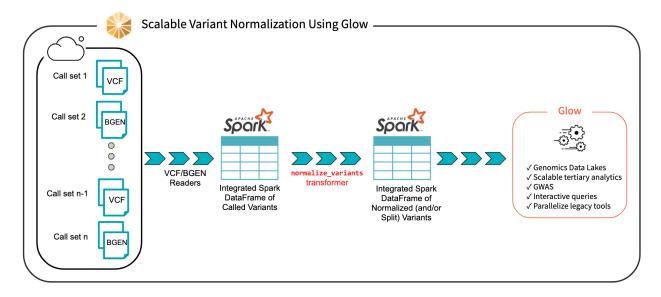


Fig. 6.19: Scalable Variant Normalization Using Glow

scale genomic analyses, e.g., association studies between genetic variations and diseases across cohorts of hundreds of thousands of individuals.

6.4.3 The underlying normalization algorithm and its accuracy

There are several single-node tools for variant normalization that use different normalization algorithms. Widely used tools for variant normalization include vt normalize, beftools norm, and the GATK's LeftAlignAndTrimVariants.

Based on our own investigation and also as indicated by *Bayat et al.* and *Tan et al.*, the GATK's LeftAlignAndTrim-Variants algorithm frequently fails to completely left-align some variants. For example, we noticed that on the test_left_align_hg38.vcf test file from GATK itself, applying LeftAlignAndTrimVariants results in an incorrect normalization of 3 of the 16 variants in the file, including the variants at positions chr20:63669973, chr20:64012187, and chr21:13255301. These variants are normalized correctly using vt normalize and bcftools norm.

Consequently, in our normalize_variants transformer, we used an improved version of the bcftools norm or vt normalize algorithms, which are similar in fundamentals. For a given variant, we start by right-trimming all the alleles of the variant as long as their rightmost nucleotides are the same. If the length of any allele reaches zero, we left-append it with a fixed block of nucleotides from the reference genome (the nucleotides are added in blocks as opposed to one-by-one to limit the number of referrals to the reference genome). When right-trimming is terminated, a potential left-trimming is performed to eliminate the leftmost nucleotides common to all alleles (possibly generated by prior left-appendings). The start, end, and alleles of the variants are updated appropriately during this process.

We benchmarked the accuracy of our normalization algorithm against vt normalize and bcftools norm on multiple test files and validated that our results match the results of these tools.

6.4.4 Optional splitting

Our normalize_variants transformer can optionally split multiallelic variants to biallelics. This is controlled by the mode option that can be supplied to this transformer. The possible values for the mode option are as follows: normalize (default), which performs normalization only, split_and_normalize, which splits multiallelic variants to biallelic ones before performing normalization, and split, which only splits multiallelics without doing any normalization.

The splitting logic of our transformer is the same as the splitting logic followed by GATK's LeftAlignAndTrimVariants tool using --splitMultiallelics option. More precisely, in case of splitting multiallelic variants loaded from VCF files, this transformer recalculates the GT blocks for the resulting biallelic variants if possible, and drops all INFO fields, except for AC, AN, and AF. These three fields are imputed based on the newly calculated GT blocks, if any exists, otherwise, these fields are dropped as well.

6.4.5 Using the transformer

Here, we briefly demonstrate how using Glow very large variant call sets can be normalized and/or split. First, VCF and/or BGEN files can be read into a Spark DataFrame as demonstrated in a prior post. This is shown in Python for the set of VCF files contained in a folder named /databricks-datasets/genomics/call-sets:

```
original_variants_df = spark.read\
   .format("vcf")\
   .option("includeSampleIds", False)\
   .load("/databricks-datasets/genomics/call-sets")
```

An example of the DataFrame original_variants_df is shown in Fig. 6.20.

original_va	riants_df.	show()								
▶ (2) Spark Jo	bs									
▶ ■ original	_variants_df:	pyspark.sql.o	datafrar	me.DataFrame = [contigNan	ne: string, start: long 11 more field	ds]				
+	++	+-	+-	+		+		+	+	+
contigName				referenceAllele			itFromMultiAllelic INFO_AN	-	INFO_AC	genotypes
	++ 1259781		[]]		[GATCTTCCCTCTTTTC 30.0	[]]				
chr20	19285486	19285490	[]	AAAA	[A] 30.0	[]	false 2	[0.25]	[1] [[, false	, [0, 1]
chr20	19285499	19285500	[]	A	[C] 30.0	[3]	false 2	[0.5]	[2] [[, false	, [1, 1]
chr20	19883344	19883345	[]	T	[TT, C] 30.0	[3]	false 4	[0.25, 0.25]	[1, 1] [[, false	, [0, 1]
chr20	19883388	19883392	[]	GAGT	[GA] 30.0	[3]	false 2	[0.5]	[2] [[, false	, [1, 1]
chr20	19883396	19883400	[]	CGGA	[CA] 30.0	[3]	false 2	[0.5]	[2] [[, false	, [1, 1]
chr20	19883411	19883412	[]	T	[TC, C] 30.0	[3]	false 4	[0.5, 0.25]	[2, 1] [[, false	, [0, 1]
chr20	19885710	19885717	[][AAGAAAA	[AA] 30.0	[3]	false 2	[0.5]	[2] [[, false	, [1, 1]
chr20	63669972	63669973	[]	G	[GGACAGACGTTTCGCC 30.0	[3]	false 2	[0.5]	[2] [[, false	, [1, 1]
chr20	64012186	64012482	[][7	FACACCTACGAGAGGAG	[T] 30.0	[3]	false 2	[0.5]	[2] [[, false	, [1, 1]
chr21	8405578	8405579	[]	G	[GTGTGTG] 30.0	[3]	false 2	[0.5]	[2] [[, false	, [1, 1]
chr21	10382394	10382395	[] [T	[TTT] 30.0	[3]	false 2	[0.5]	[2] [[, false	, [1, 1]
chr21	10388248	10388252	[]	GAAG	[G] 30.0	[3]	false 2	[0.25]	[1] [[, false	, [0, 1]
chr21	10804283	10804284	[]	T	[TGC] 30.0	[3]	false 2	[0.25]	[1] [[, false	, [0, 1]
chr21	13255295	13255296	[]	A	[G] 30.0	[3]	false 2	[0.5]	[2] [[, false	, [1, 1]
chr21	13255300	13255303	[]	AAA	[A] 30.0	[3]	false 2	[0.5]	[2] [[, false	, [1, 1]
chr21	39584005	39584051	[] 0	CTTCCCTTCCCTTCCCT	[C] 30.0	[3]	false 4	[0.75]	[3] [[, false	, [1, 1]
+	++		+-					+	+	

Fig. 6.20: The variant DataFrame original_variants_df

The variants can then be normalized using the normalize_variants transformer as follows:

```
import glow

ref_genome_path = '/mnt/dbnucleus/dbgenomics/grch38/data/GRCh38.fa'

normalized_variants_df = glow.transform(\
    "normalize_variants",\
    original_variants_df,\
```

(continues on next page)

(continued from previous page)

```
reference_genome_path=ref_genome_path\
)
```

Note that normalization requires the reference genome .fasta or .fa file, which is provided using the reference_genome_path option. The .dict and .fai files must accompany the reference genome file in the same folder (read more about these file formats here).

Our example Dataframe after normalization can be seen in Fig. 6.21.

normalized_va	riants_df.show()									
▶ (1) Spark Jobs										
contigName		names	referenceAllele	alternateAlleles qual					INFO_AC	genotypes
	1259649 1259656		A	[ATTTGAGATCTTCCCT 30.0	[][false	4	[1.0]	[1] [[,	false, [1, 1]
chr20 19	9285476 19285486		CAAA	[C] 30.0	[][false	2	[0.25]		false, [0, 1]
	9285499 19285500		A	5-311		false	2			false, [1, 1]
	9883344 19883345		T	[TT, C] 30.0		false	4	[0.25, 0.25]	, . , . , ,	false, [0, 1]
chr20 19	9883389 19883392	[] [AGT	[A] 30.0	[][false	2	[0.5]	[2][[,	false, [1, 1]
chr20 19	9883396 19883399	[]	CGG	[C] 30.0	[] [false	2	[0.5]	[2][[,	false, [1, 1]
chr20 19	9883411 19883412	[] [T	[TC, C] 30.0	[]	false	4	[0.5, 0.25]	[2, 1] [[,	false, [0, 1]
chr20 19	9885701 19885707	1 []	CGAAAA	[C] 30.0	[]	false	2	[0.5]	[2][[,	false, [1, 1]
chr20 6	3669643 63669644	[]	A	[ACCAAGATGGGTGGAA 30.0	[]	false	2	[0.5]	[2][[,	false, [1, 1]
chr20 64	4011804 64012100) []]	rggcttctttctttgct	[T] 30.0	[]	false	2	[0.5]	[2][[,	false, [1, 1]
chr21 8	8405572 8405573	[]	A	[ATGTGTG] 30.0	[]	false	2	[0.5]	[2][[,	false, [1, 1]
chr21 10	0382388 10382389	[] [A	[ATT] 30.0	[3]	false	2	[0.5]	[2][[,	false, [1, 1]
chr21 10	0388232 10388236	[]	GGAA	[G] 30.0	[]	false	2	[0.25]	[1][[[,	false, [0, 1]
chr21 10	0804283 10804284	i ci	ті	[TGC] 30.0	[]	false	2	[0.25]	[1][[[,	false, [0, 1]
chr21 1	3255295 13255296	[]	A	[G] 30.0	[3]	false	2	[0.5]	[2][[,	false, [1, 1]
chr21 1	3255288 13255291	i ni	CAA	[C] 30.0	[]	false	2	[0.5]	[2][[,	false, [1, 1]
chr21 39	9583816 39583862	2 [] [стсссттсссттссстт	[C] 30.0	[]	false	4	[0.75]	[3][[,	false, [1, 1]
+		++			+	+	+		+	+

Fig. 6.21: The normalized_variants_df DataFrame obtained after applying normalize_variants transformer on original_variants_df. Notice that several variants are normalized and their start, end, and alleles have changed accordingly.

By default, the transformer normalizes each variant without splitting the multiallelic variants before normalization as seen in Fig. 6.21. By setting the mode option to split_and_normalize, nothing changes for biallelic variants, but the multiallelic variants are first split to the appropriate number of biallelics and the resulting biallelics are normalized. This can be done as follows:

```
split_and_normalized_variants_df = glow.transform(\
   "normalize_variants",\
   original_variants_df,\
   reference_genome_path=ref_genome_path,\
   mode="split_and_normalize"
)
```

The resulting DataFrame looks like Fig. 6.22.

As mentioned before, the transformer can also be used only for splitting of multiallelics without doing any normalization by setting the mode option to split.

split_and_normalized_variants_df.show()											
▶ (1) Spark Job	s										
contigName	start	end n	ames	referenceAllele	alternateAlleles qual	filters spl	itFromMultiAllelic I	NFO_AN]	NFO_AF I	NFO_AC	genotypes
chr20	1259649	1259650	[][A	[ATTTGAGATCTTCCCT 30.0	[]	false	4	[1.0]	[1] [[, fa]	se, [1, 1]
chr20 :	19285476 1	L9285480	[]	CAAA	[C] 30.0	[] [false	2	[0.25]	[1] [[, fa]	se, [0, 1]
chr20 :	19285499 1	L9285500	[][A	[C] 30.0	[]	false	2	[0.5]	[2] [[, fa]	se, [1, 1]
chr20 :	19883336 1	L9883337	[][C	[CT] 30.0	[] [true	4	[0.25]	[1] [[, fa]	se, [0, 1]
chr20 :	19883344 1	L9883345	[][T	[C] 30.0	[]]	true	4	[0.25]	[1] [[, fa]	se, [0, 0]
chr20 :	19883389 1	L9883392	[][AGT	[A] 30.0	[]	false	2	[0.5]	[2] [[, fa]	se, [1, 1]
chr20 :	19883396 1	L9883399	[][CGG	[C] 30.0	[]	false	2	[0.5]	[2] [[, fa]	se, [1, 1]
chr20 :	19883411 1	L9883412	[][T	[TC] 30.0	[]	true	null	null	null [[, fa]	se, [-1,
chr20 :	19883411 1	L9883412	[][T	[C] 30.0	[]	true	null	null	null [[, fa]	se, [-1,
chr20 :	19885701 1	L9885707	[][CGAAAA	[C] 30.0	[]	false	2	[0.5]	[2] [[, fa]	se, [1, 1]
chr20 6	63669643 6	3669644	[][Α	[ACCAAGATGGGTGGAA 30.0	[] [false	2	[0.5]	[2] [[, fa]	se, [1, 1]
chr20 6	64011804 6	64012100	[][]	rggcttctttctttgct	[T] 30.0	[] [false	2	[0.5]	[2] [[, fa]	se, [1, 1]
chr21	8405572	8405573	[][A	[ATGTGTG] 30.0	[]]	false	2	[0.5]	[2] [[, fa]	se, [1, 1]
chr21 :	10382388 1	L0382389	[][A	[ATT] 30.0	[] [false	2	[0.5]	[2] [[, fa]	se, [1, 1]
chr21 :	10388232 1	L0388236	[][GGAA	[G] 30.0	[] [false	2	[0.25]	[1] [[, fa]	se, [0, 1]
chr21 :	10804283 1	L0804284	[]	Τİ	[TGC] 30.0	[] [false	2	[0.25]	[1] [[, fa]	se, [0, 1]
chr21 :	13255295 1	L3255296	[]	A	[G] 30.0	[] [false	2	[0.5]	[2] [[, fa]	se, [1, 1]
chr21 :	13255288 1	L3255291	[]	CAA	[C] 30.0	[] [false	2	[0.5]	[2] [[, fa]	se, [1, 1]
chr21 3	39583816 3	39583862	[] [тсссттсссттссстт	[C] 30.0	[3]	false	4	[0.75]	[3] [[, fa]	se, [1, 1]

Fig. 6.22: The split_and_normalized_variants_df DataFrame after applying normalize_variants transformer with mode=split_and_normalize on original_variants_df. Notice that for example the triallelic variant chr20,start=19883344,end=19883345,REF=T,ALT=[TT,C] of original_variants_df has been split into two biallelic variants and then normalized resulting in two normalized biallelic variants chr20,start=19883336,end=19883337,REF=C,ALT=CT and chr20,start=19883344,end=19883345,REF=T,ALT=C.

6.4.6 Summary

Using Glow normalize_variants transformer, computational biologists and bioinformaticians can normalize very large variant datasets of hundreds of thousands of samples in a fast and scalable manner. Differently sourced call sets can be ingested and merged using VCF and/or BGEN readers, normalization can be performed using this transformer in a just a single line of code. The transformer can optionally perform splitting of multiallelic variants to biallelics as well.

6.4.7 Try it!

Our normalize_variants transformer makes it easy to normalize (and split) large variant datasets with a very small amount of code. Learn more about other feature of Glow here.

6.4.8 References

Arash Bayat, Bruno Gaëta, Aleksandar Ignjatovic, Sri Parameswaran, Improved VCF normalization for accurate VCF comparison, Bioinformatics, Volume 33, Issue 7, 2017, Pages 964–970

Adrian Tan, Gonçalo R. Abecasis, Hyun Min Kang, Unified representation of genetic variants, Bioinformatics, Volume 31, Issue 13, 2015, Pages 2202–2204

CHAPTER

SEVEN

ADDITIONAL RESOURCES

7.1 Databricks notebooks

Most of the code in the Databricks notebooks can be run on Spark and Glow alone, but some functions are only available on Databricks.

7.1.1 New to Databricks? Try Glow on Databricks for Free!

The Databricks Community Edition is free of charge. Follow our instructions to set up a Databricks Community Edition workspace and try the Glow documentation notebooks.

7.2 External blog posts

- Scaling Genomic Workflows with Spark SQL BGEN and VCF Readers
- Parallelizing SAIGE Across Hundreds of Cores
 - Parallelize SAIGE using Glow and the Pipe Transformer
- · Accurately Building Genomic Cohorts at Scale with Delta Lake and Spark SQL
 - Joint genotyping with Glow and Databricks
- Introducing Glow: an open-source toolkit for large-scale genomic analysis

CHAPTER

EIGHT

PYTHON API

Glow's Python API is designed to work seamlessly with PySpark and other tools in the Spark ecosystem. The functions here work with normal PySpark DataFrames and columns. You can access functions in any module from the top-level glow import.

8.1 Glow Top-Level Functions

glow.register(session, new_session=True)

Register SQL extensions and py4j converters for a Spark session.

Parameters

- session (SparkSession) Spark session
- new_session (bool) If True, create a new Spark session using session.newSession() before registering extensions. This may be necessary if you're using functions that register new analysis rules. The new session has isolated UDFs, configurations, and temporary tables, but shares the existing SparkContext and cached data.

Example

```
>>> import glow
>>> spark = glow.register(spark)
```

Return type SparkSession

```
glow.transform(operation, df, arg_map=None, **kwargs)
```

Apply a named transformation to a DataFrame of genomic data. All parameters apart from the input data and its schema are provided through the case-insensitive options map.

There are no bounds on what a transformer may do. For instance, it's legal for a transformer to materialize the input DataFrame.

Parameters

- operation (str) Name of the operation to perform
- **df** (DataFrame) The input DataFrame
- arg_map (Optional[Dict[str, Any]]) A string -> any map of arguments
- **kwargs** (Any) Named arguments. If the arg_map is not specified, transformer args will be pulled from these keyword args.

Example

```
>>> df = spark.read.format('vcf').load('test-data/1kg_sample.vcf')
>>> piped_df = glow.transform('pipe', df, cmd=["cat"], input_formatter='vcf',

-output_formatter='vcf', in_vcf_header='infer')
```

Return type DataFrame

Returns The transformed DataFrame

8.2 PySpark Functions

Glow includes a number of functions that operate on PySpark columns. These functions are interoperable with functions provided by PySpark or other libraries.

```
glow.add_struct_fields(struct, *fields)
```

Adds fields to a struct.

Added in version 0.3.0.

Examples

```
>>> df = spark.createDataFrame([Row(struct=Row(a=1))])
>>> df.select(glow.add_struct_fields('struct', lit('b'), lit(2)).alias('struct')).

--collect()
[Row(struct=Row(a=1, b=2))]
```

Parameters

- **struct** (Union[Column, str]) The struct to which fields will be added
- **fields** (Union[Column, str]) The new fields to add. The arguments must alternate between string-typed literal field names and field values.

Return type Column

Returns A struct consisting of the input struct and the added fields

```
glow.array_summary_stats(arr)
```

Computes the minimum, maximum, mean, standard deviation for an array of numerics.

Added in version 0.3.0.

Examples

```
>>> df = spark.createDataFrame([Row(arr=[1, 2, 3])])
>>> df.select(glow.expand_struct(glow.array_summary_stats('arr'))).collect()
[Row(mean=2.0, stdDev=1.0, min=1.0, max=3.0)]
```

Parameters arr (Union[Column, str]) – An array of any numeric type

Return type Column

Returns A struct containing double mean, stdDev, min, and max fields

```
glow.array_to_dense_vector(arr)
```

Converts an array of numerics into a spark.ml DenseVector.

Added in version 0.3.0.

Examples

```
>>> from pyspark.ml.linalg import DenseVector
>>> df = spark.createDataFrame([Row(arr=[1, 2, 3])])
>>> df.select(glow.array_to_dense_vector('arr').alias('v')).collect()
[Row(v=DenseVector([1.0, 2.0, 3.0]))]
```

Parameters arr (Union[Column, str]) - The array of numerics

Return type Column

Returns A spark.ml DenseVector

glow.array_to_sparse_vector(arr)

Converts an array of numerics into a spark.ml SparseVector.

Added in version 0.3.0.

Examples

```
>>> from pyspark.ml.linalg import SparseVector
>>> df = spark.createDataFrame([Row(arr=[1, 0, 2, 0, 3, 0])])
>>> df.select(glow.array_to_sparse_vector('arr').alias('v')).collect()
[Row(v=SparseVector(6, {0: 1.0, 2: 2.0, 4: 3.0}))]
```

Parameters arr (Union[Column, str]) – The array of numerics

Return type Column

Returns A spark.ml SparseVector

glow.call_summary_stats(genotypes)

Computes call summary statistics for an array of genotype structs. See Variant Quality Control for more details.

Added in version 0.3.0.

Examples

 $\label{eq:column} \textbf{Parameters genotypes} \ (\textbf{Union}[\textbf{Column}, \, \textbf{str}]) - \textbf{The array of genotype structs with calls field}$

Return type Column

Returns A struct containing callRate, nCalled, nUncalled, nHet, nHomozygous, nNonRef, nAllelesCalled, alleleCounts, alleleFrequencies fields. See *Variant Quality Control*.

glow.dp_summary_stats(genotypes)

Computes summary statistics for the depth field from an array of genotype structs. See *Variant Quality Control*. Added in version 0.3.0.

Examples

Parameters genotypes (Union[Column, str]) - An array of genotype structs with depth field

Return type Column

Returns A struct containing mean, stdDev, min, and max of genotype depths

glow.expand_struct(struct)

Promotes fields of a nested struct to top-level columns similar to using struct.* from SQL, but can be used in more contexts.

Added in version 0.3.0.

Examples

```
>>> df = spark.createDataFrame([Row(struct=Row(a=1, b=2))])
>>> df.select(glow.expand_struct(col('struct'))).collect()
[Row(a=1, b=2)]
```

Parameters struct (Union[Column, str]) – The struct to expand

Return type Column

Returns Columns corresponding to fields of the input struct

glow.explode_matrix(matrix)

Explodes a spark.ml Matrix (sparse or dense) into multiple arrays, one per row of the matrix.

Added in version 0.3.0.

Examples

```
>>> from pyspark.ml.linalg import DenseMatrix
>>> m = DenseMatrix(numRows=3, numCols=2, values=[1, 2, 3, 4, 5, 6])
>>> df = spark.createDataFrame([Row(matrix=m)])
>>> df.select(glow.explode_matrix('matrix').alias('row')).collect()
[Row(row=[1.0, 4.0]), Row(row=[2.0, 5.0]), Row(row=[3.0, 6.0])]
```

Parameters matrix (Union[Column, str]) - The sparl.ml Matrix to explode

Return type Column

Returns An array column in which each row is a row of the input matrix

glow.genotype_states(genotypes)

Gets the number of alternate alleles for an array of genotype structs. Returns -1 if there are any -1 s (no-calls) in the calls array.

Added in version 0.3.0.

Examples

Parameters genotypes (Union[Column, str]) – An array of genotype structs with calls field **Return type** Column

Returns An array of integers containing the number of alternate alleles in each call array

glow.gq_summary_stats(genotypes)

Computes summary statistics about the genotype quality field for an array of genotype structs. See *Variant Quality Control*.

Added in version 0.3.0.

Examples

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```
>>> df.select(glow.expand_struct(glow.gq_summary_stats('genotypes'))).collect()
[Row(mean=2.0, stdDev=1.0, min=1.0, max=3.0)]
```

Parameters genotypes (Union[Column, str]) — The array of genotype structs with conditionalQuality field

Return type Column

Returns A struct containing mean, stdDev, min, and max of genotype qualities

glow.hard_calls(probabilities, numAlts, phased, threshold=None)

Converts an array of probabilities to hard calls. The probabilities are assumed to be diploid. See *Variant data transformations* for more details.

Added in version 0.3.0.

Examples

Parameters

- probabilities (Union[Column, str]) The array of probabilities to convert
- numAlts (Union[Column, str]) The number of alternate alleles
- **phased** (Union[Column, str]) Whether the probabilities are phased. If phased, we expect one 2 * numAlts values in the probabilities array. If unphased, we expect one probability per possible genotype.
- **threshold** (Optional[float]) The minimum probability to make a call. If no probability falls into the range of [0, 1 threshold] or [threshold, 1], a no-call (represented by -1 s) will be emitted. If not provided, this parameter defaults to 0.9.

Return type Column

Returns An array of hard calls

glow.hardy_weinberg(genotypes)

Computes statistics relating to the Hardy Weinberg equilibrium. See Variant Quality Control for more details.

Added in version 0.3.0.

Examples

Parameters genotypes (Union[Column, str]) – The array of genotype structs with calls field **Return type** Column

Returns A struct containing two fields, hetFreqHwe (the expected heterozygous frequency according to Hardy-Weinberg equilibrium) and pValueHwe (the associated p-value)

glow.lift_over_coordinates(contigName, start, end, chainFile, minMatchRatio=None)

Performs liftover for the coordinates of a variant. To perform liftover of alleles and add additional metadata, see *Liftover*.

Added in version 0.3.0.

Examples

Parameters

- contigName (Union[Column, str]) The current contig name
- start (Union[Column, str]) The current start
- end (Union[Column, str]) The current end
- chainFile (str) Location of the chain file on each node in the cluster
- minMatchRatio (Optional[float]) Minimum fraction of bases that must remap to do liftover successfully. If not provided, defaults to 0.95.

Return type Column

Returns A struct containing contigName, start, and end fields after liftover

glow.linear_regression_gwas(genotypes, phenotypes, covariates)

Performs a linear regression association test optimized for performance in a GWAS setting. See *Linear regression* for details.

Added in version 0.3.0.

Examples

Parameters

- **genotypes** (Union[Column, str]) A numeric array of genotypes
- **phenotypes** (Union[Column, str]) A numeric array of phenotypes
- covariates (Union[Column, str]) A spark.ml Matrix of covariates

Return type Column

Returns A struct containing beta, standardError, and pValue fields. See *Linear regression*.

glow.logistic_regression_gwas(genotypes, phenotypes, covariates, test, offset=None)

Performs a logistic regression association test optimized for performance in a GWAS setting. See *Logistic regression* for more details.

Added in version 0.3.0.

Examples

```
>>> from pyspark.ml.linalg import DenseMatrix
>>> phenotypes = [1, 0, 0, 1, 1]
>>> genotypes = [0, 0, 1, 2, 2]
>>> covariates = DenseMatrix(numRows=5, numCols=1, values=[1, 1, 1, 1, 1])
>>> offset = [1, 0, 1, 0, 1]
>>> df = spark.createDataFrame([Row(genotypes=genotypes, phenotypes=phenotypes, __
→covariates=covariates, offset=offset)])
>>> df.select(glow.expand_struct(glow.logistic_regression_gwas('genotypes',
→ 'phenotypes', 'covariates', 'Firth'))).collect()
[Row(beta=0.7418937644793101, oddsRatio=2.09990848346903, waldConfidenceInterval=[0.
-2509874689201784, 17.569066925598555], pValue=0.3952193664793294)]
>>> df.select(glow.expand_struct(glow.logistic_regression_gwas('genotypes',
→'phenotypes', 'covariates', 'LRT'))).collect()
[Row(beta=1.1658962684583645, oddsRatio=3.208797538802116,__
→waldConfidenceInterval=[0.29709600522888285, 34.65674887513274], pValue=0.
→2943946848756769)]
>>> df.select(glow.expand_struct(glow.logistic_regression_gwas('genotypes',
→'phenotypes', 'covariates', 'Firth', 'offset'))).collect()
[Row(beta=0.8024832156793392, oddsRatio=2.231074294047771,_
→waldConfidenceInterval=[0.2540891981649045, 19.590334974925725], pVa(Linterior page)
\rightarrow 3754070658316332)]
```

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Parameters

- **genotypes** (Union[Column, str]) An numeric array of genotypes
- **phenotypes** (Union[Column, str]) A double array of phenotype values
- covariates (Union[Column, str]) A spark.ml Matrix of covariates
- test (str) Which logistic regression test to use. Can be LRT or Firth
- **offset** (Union[Column, str, None]) An optional double array of offset values. The offset vector is added with coefficient 1 to the linear predictor term X*b.

Return type Column

Returns A struct containing beta, oddsRatio, waldConfidenceInterval, and pValue fields. See *Logistic regression*.

glow.mean_substitute(array, missingValue=None)

Substitutes the missing values of a numeric array using the mean of the non-missing values. Any values that are NaN, null or equal to the missing value parameter are considered missing. See *Variant data transformations* for more details.

Added in version 0.4.0.

Examples

Parameters

- array (Union[Column, str]) A numeric array that may contain missing values
- missingValue (Union[Column, str, None]) A value that should be considered missing. If not provided, this parameter defaults to –1.

Return type Column

Returns A numeric array with substituted missing values

```
glow.normalize_variant(contigName, start, end, refAllele, altAlleles, refGenomePathString)
```

Normalizes the variant with a behavior similar to vt normalize or bcftools norm. Creates a StructType column including the normalized start, end, referenceAllele and alternateAlleles fields (whether they are

changed or unchanged as the result of normalization) as well as a StructType field called normalizationStatus that contains the following fields:

changed: A boolean field indicating whether the variant data was changed as a result of normalization errorMessage: An error message in case the attempt at normalizing the row hit an error. In this case, the changed field will be set to false. If no errors occur, this field will be null.

In case of an error, the start, end, referenceAllele and alternateAlleles fields in the generated struct will be null.

Added in version 0.3.0.

Examples

Parameters

- contigName (Union[Column, str]) The current contig name
- **start** (Union[Column, str]) The current start
- end (Union[Column, str]) The current end
- **refAllele** (Union[Column, str]) The current reference allele
- altAlleles (Union[Column, str]) The current array of alternate alleles
- refGenomePathString (str) A path to the reference genome .fasta file. The .fasta file must be accompanied with a .fai index file in the same folder.

Return type Column

Returns A struct as explained above

```
glow.sample_call_summary_stats(genotypes, refAllele, alternateAlleles)
```

Computes per-sample call summary statistics. See Sample Quality Control for more details.

Added in version 0.3.0.

Examples

```
>>> sites = [
... {'refAllele': 'C', 'alternateAlleles': ['G'], 'genotypes': [{'sampleId':
... {'NA12878', 'calls': [0, 0]}]},
... {'refAllele': 'A', 'alternateAlleles': ['G'], 'genotypes': [{'sampleId':
... {'NA12878', 'calls': [1, 1]}]},
... {'refAllele': 'AG', 'alternateAlleles': ['A'], 'genotypes': [{'sampleId':
... 'NA12878', 'calls': [1, 0]}]}]
>>> df = spark.createDataFrame(sites, 'refAllele: string, alternateAlleles: array
... <string>, genotypes: array<struct<sampleId: string, calls: array<int>>>')
>>> df.select(glow.sample_call_summary_stats('genotypes', 'refAllele',
... 'alternateAlleles').alias('stats')).collect()
[Row(stats=[Row(sampleId='NA12878', callRate=1.0, nCalled=3, nUncalled=0, nHomRef=1,
... nHet=1, nHomVar=1, nSnp=2, nInsertion=0, nDeletion=1, nTransition=2,
... onTransversion=0, nSpanningDeletion=0, rTiTv=inf, rInsertionDeletion=0.0,
... orHetHomVar=1.0)])]
```

Parameters

- genotypes (Union[Column, str]) An array of genotype structs with calls field
- refAllele (Union[Column, str]) The reference allele
- alternateAlleles (Union[Column, str]) An array of alternate alleles

Return type Column

Returns A struct containing sampleId, callRate, nCalled, nUncalled, nHomRef, nHet, nHomVar, nSnp, nInsertion, nDeletion, nTransition, nTransversion, nSpanningDeletion, rTiTv, rInsertionDeletion, rHetHomVar fields. See Sample Quality Control.

glow.sample_dp_summary_stats(genotypes)

Computes per-sample summary statistics about the depth field in an array of genotype structs.

Added in version 0.3.0.

Examples

Parameters genotypes (Union[Column, str]) – An array of genotype structs with depth field **Return type** Column

Returns An array of structs where each struct contains mean, stDev, min, and max of the genotype depths for a sample. If sampleId is present in a genotype, it will be propagated to the resulting struct as an extra field.

glow.sample_gq_summary_stats(genotypes)

Computes per-sample summary statistics about the genotype quality field in an array of genotype structs.

Added in version 0.3.0.

Examples

```
>>> sites = [
... Row(genotypes=[Row(sampleId='NA12878', conditionalQuality=1)]),
... Row(genotypes=[Row(sampleId='NA12878', conditionalQuality=2)]),
... Row(genotypes=[Row(sampleId='NA12878', conditionalQuality=3)])]
>>> df = spark.createDataFrame(sites, 'genotypes: array<struct<sampleId: string, _____
-conditionalQuality: int>>')
>>> df.select(glow.sample_gq_summary_stats('genotypes').alias('stats')).collect()
[Row(stats=[Row(sampleId='NA12878', mean=2.0, stdDev=1.0, min=1.0, max=3.0)])]
```

Parameters genotypes (Union[Column, str]) – An array of genotype structs with conditionalQuality field

Return type Column

Returns An array of structs where each struct contains mean, stDev, min, and max of the genotype qualities for a sample. If sampleId is present in a genotype, it will be propagated to the resulting struct as an extra field.

```
glow.subset_struct(struct, *fields)
```

Selects fields from a struct.

Added in version 0.3.0.

Examples

```
>>> df = spark.createDataFrame([Row(struct=Row(a=1, b=2, c=3))])
>>> df.select(glow.subset_struct('struct', 'a', 'c').alias('struct')).collect()
[Row(struct=Row(a=1, c=3))]
```

Parameters

- struct (Union[Column, str]) Struct from which to select fields
- **fields** (str) Fields to select

Return type Column

Returns A struct containing only the indicated fields

```
glow.vector_to_array(vector)
```

Converts a spark.ml Vector (sparse or dense) to an array of doubles.

Added in version 0.3.0.

Examples

Parameters vector (Union[Column, str]) - Vector to convert

Return type Column

Returns An array of doubles

8.3 GloWGR

8.3.1 WGR functions

The LogisticRidgeRegression class is used to fit logistic ridge regression models against one or more labels optimized over a provided list of ridge alpha parameters. The optimal ridge alpha value is chosen for each label by minimizing the average out of fold log_loss scores.

fit()

Fits a logistic regression model, represented by a Spark DataFrame containing coefficients for each of the ridge alpha parameters, for each block in the reduced block matrix, for each label in the target labels, as well as a Spark DataFrame containing the optimal ridge alpha value for each label.

```
Return type (<class 'pyspark.sql.dataframe.DataFrame'>, <class 'pyspark.sql.dataframe.DataFrame'>)
```

Returns Two Spark DataFrames, one containing the model resulting from the fitting routine and one containing the results of the cross validation procedure.

fit_transform(response='linear')

Fits a logistic ridge regression model, then transforms the matrix using the model.

Parameters response (str) – String specifying the desired output. Can be 'linear' to specify the direct output of the linear WGR model (default) or 'sigmoid' to specify predicted label probabilities.

Return type DataFrame

Returns Pandas DataFrame containing prediction y_hat values. The shape and order match labeldf such that the rows are indexed by sample ID and the columns by label. The column types are float64.

```
fit_transform_loco(response='linear', chromosomes=[])
```

Fits a logistic ridge regression model with a block matrix, then generates predictions for the target labels in the provided label DataFrame by applying the model resulting from the LogisticRidgeRegression fit method to the starting reduced block matrix using a leave-one-chromosome-out (LOCO) approach (this method caches the model and cross-validation DataFrames in the process for better performance).

Parameters

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- **response** (str) String specifying the desired output. Can be 'linear' to specify the direct output of the linear WGR model (default) or 'sigmoid' to specify predicted label probabilities.
- **chromosomes** (List[str]) List of chromosomes for which to generate a prediction (optional). If not provided, the chromosomes will be inferred from the block matrix.

Return type DataFrame

Returns Pandas DataFrame containing prediction y_hat values per chromosome. The rows are indexed by sample ID and chromosome; the columns are indexed by label. The column types are float64. The DataFrame is sorted using chromosome as the primary sort key, and sample ID as the secondary sort key.

classmethod from_ridge_reduction(cls, ridge_reduced, alphas=[])

Initializes an instance of LogsiticRidgeRegression using a RidgeReduction object

Parameters

- **ridge_reduced** (*RidgeReduction*) A RidgeReduction instance based on which the LogisticRidgeRegression instance must be made
- alphas (List[float]) array_like of alpha values used in the logistic ridge regression (optional).

reduce_block_matrix(response)

Transforms a starting reduced block matrix by applying a linear model. The form of the output can either be a direct linear transformation (response = "linear") or a linear transformation followed by a sigmoid transformation (response = "sigmoid").

Parameters response (str) – String specifying what transformation to apply ("linear" or "sigmoid")

Return type DataFrame

Returns Spark DataFrame containing the result of the transformation.

transform(response='linear')

Generates GWAS covariates for the target labels in the provided label DataFrame by applying the model resulting from the LogisticRidgeRegression fit method to the starting reduced block matrix.

Parameters response (str) – String specifying the desired output. Can be 'linear' to specify the direct output of the linear WGR model (default) or 'sigmoid' to specify predicted label probabilities.

Return type DataFrame

Returns Pandas DataFrame containing covariate values. The shape and order match label_df such that the rows are indexed by sample ID and the columns by label. The column types are float64.

transform_loco(response='linear', chromosomes=[])

Generates predictions for the target labels in the provided label DataFrame by applying the model resulting from the LogisticRidgeRegression fit method to the starting reduced block matrix using a leave-one-chromosome-out (LOCO) approach (this method caches the model and cross-validation DataFrames in the process for better performance).

Parameters

• **response** (str) – String specifying the desired output. Can be 'linear' to specify the direct output of the linear WGR model (default) or 'sigmoid' to specify predicted label probabilities.

• **chromosomes** (List[str]) – List of chromosomes for which to generate a prediction (optional). If not provided, the chromosomes will be inferred from the block matrix.

Return type DataFrame

Returns Pandas DataFrame containing prediction y_hat values per chromosome. The rows are indexed by sample ID and chromosome; the columns are indexed by label. The column types are float64. The DataFrame is sorted using chromosome as the primary sort key, and sample ID as the secondary sort key.

```
class glow.wgr.RidgeReduction(block_df, label_df, sample_blocks, cov_df=Empty DataFrame Columns: []

Index: [], add_intercept=True, alphas=[], label_type='detect')
```

The RidgeReduction class is intended to reduce the feature space of an N by M block matrix X to an N by P<<M block matrix. This is done by fitting K ridge models within each block of X on one or more target labels, such that a block with L columns to begin with will be reduced to a block with K columns, where each column is the prediction of one ridge model for one target label.

fit()

Fits a ridge reducer model, represented by a Spark DataFrame containing coefficients for each of the ridge alpha parameters, for each block in the starting matrix, for each label in the target labels.

Return type DataFrame

Returns Spark DataFrame containing the model resulting from the fitting routine.

fit_transform()

Fits a ridge reduction model with a block matrix, then transforms the matrix using the model.

Return type DataFrame

Returns Spark DataFrame representing the reduced block matrix

transform()

Transforms a starting block matrix to the reduced block matrix, using a reducer model produced by the RidgeReduction fit method.

Return type DataFrame

Returns Spark DataFrame representing the reduced block matrix

```
class glow.wgr.RidgeRegression(reduced_block_df, label_df, sample_blocks, cov_df=Empty DataFrame Columns: [] Index: [], add_intercept=True, alphas=[])
```

The RidgeRegression class is used to fit ridge models against one or more labels optimized over a provided list of ridge alpha parameters. It is similar in function to RidgeReduction except that whereas RidgeReduction attempts to reduce a starting matrix X to a block matrix of smaller dimension, RidgeRegression is intended to find an optimal model of the form $Y_{hat} \sim XB$, where Y_{hat} is a matrix of one or more predicted labels and B is a matrix of coefficients. The optimal ridge alpha value is chosen for each label by maximizing the average out of fold r2 score.

fit()

Fits a ridge regression model, represented by a Spark DataFrame containing coefficients for each of the ridge alpha parameters, for each block in the starting reduced matrix, for each label in the target labels, as well as a Spark DataFrame containing the optimal ridge alpha value for each label.

```
Return type (<class 'pyspark.sql.dataframe.DataFrame'>, <class 'pyspark.sql.dataframe.DataFrame'>)
```

Returns Two Spark DataFrames, one containing the model resulting from the fitting routine and one containing the results of the cross validation procedure.

fit_transform()

Fits a ridge regression model, then transforms the matrix using the model.

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Return type DataFrame

Returns Pandas DataFrame containing prediction y_hat values. The shape and order match labeldf such that the rows are indexed by sample ID and the columns by label. The column types are float64.

fit_transform_loco(chromosomes=[])

Fits a ridge regression model and then generates predictions for the target labels in the provided label DataFrame by applying the model resulting from the RidgeRegression fit method to the starting reduced block matrix using a leave-one-chromosome-out (LOCO) approach ((this method caches the model and cross-validation DataFrames in the process for better performance).

Parameters chromosomes (List[str]) – List of chromosomes for which to generate a prediction (optional). If not provided, the chromosomes will be inferred from the block matrix.

Return type DataFrame

Returns Pandas DataFrame containing offset values (y_hat) per chromosome. The rows are indexed by sample ID and chromosome; the columns are indexed by label. The column types are float64. The DataFrame is sorted using chromosome as the primary sort key, and sample ID as the secondary sort key.

classmethod from_ridge_reduction(cls, ridge_reduced, alphas=[])

Initializes an instance of RidgeRegression using a RidgeReduction object

Parameters

- **ridge_reduced** (*RidgeReduction*) A RidgeReduction instance based on which the RidgeRegression instance must be made
- alphas (List[float]) array_like of alpha values used in the ridge regression (optional).

transform()

Generates predictions for the target labels in the provided label DataFrame by applying the model resulting from the RidgeRegression fit method to the reduced block matrix.

Return type DataFrame

Returns Pandas DataFrame containing prediction y_hat values. The shape and order match label_df such that the rows are indexed by sample ID and the columns by label. The column types are float64.

transform_loco(chromosomes=[])

Generates predictions for the target labels in the provided label DataFrame by applying the model resulting from the RidgeRegression fit method to the starting reduced block matrix using a leave-one-chromosome-out (LOCO) approach (this method caches the model and cross-validation DataFrames in the process for better performance).

Parameters chromosomes (List[str]) – List of chromosomes for which to generate a prediction (optional). If not provided, the chromosomes will be inferred from the block matrix.

Return type DataFrame

Returns Pandas DataFrame containing offset values (y_hat) per chromosome. The rows are indexed by sample ID and chromosome; the columns are indexed by label. The column types are float64. The DataFrame is sorted using chromosome as the primary sort key, and sample ID as the secondary sort key.

glow.wgr.block_variants_and_samples(variant_df, sample_ids, variants_per_block, sample_block_count)

Creates a blocked GT matrix and index mapping from sample blocks to a list of corresponding sample IDs. Uses the same sample-blocking logic as the blocked GT matrix transformer.

Requires that:

- Each variant row has the same number of values
- The number of values per row matches the number of sample IDs

Parameters

- variant_df (DataFrame) The variant DataFrame
- **sample_ids** (List[str]) The list of sample ID strings
- variants_per_block (int) The number of variants per block
- sample_block_count (int) The number of sample blocks

Return type (<class 'pyspark.sql.dataframe.DataFrame'>, typing.Dict[str, typing.List[str]])

Returns tuple of (blocked GT matrix, index mapping)

```
glow.wgr.estimate_loco_offsets(block_df, label_df, sample_blocks, cov_df=Empty DataFrame Columns: []

Index: [], add_intercept=True, reduction_alphas=[], regression_alphas=[],
label_type='detect', chromosomes=[])
```

The one-stop function to generate WGR predictors to be used as offsets in gwas functions. Given the input the function performs the ridge reduction followed by appropriate choice of ridge regression or logistic ridge regression in a loco manner.

Parameters

- block_df (DataFrame) Spark DataFrame representing the beginning block matrix X
- label_df (DataFrame) Pandas DataFrame containing the target labels used in fitting the ridge models
- **sample_blocks** (Dict[str, List[str]]) Dict containing a mapping of sample_block ID to a list of corresponding sample IDs
- cov_df (DataFrame) Pandas DataFrame containing covariates to be included in every model in the stacking ensemble (optional).
- add_intercept (bool) If True, an intercept column (all ones) will be added to the covariates (as the first column)
- **reduction_alphas** (List[float]) array_like of alpha values used in the ridge reduction (optional). If not provided, the automatically generates alphas for reduction.
- regression_alphas (List[float]) array_like of alpha values used in the ridge or logistic ridge regression (optional). If not provided, the automatically generates alphas for regression.
- label_type String to determine type treatment of labels. It can be 'detect' (default), 'binary', or 'quantitative'. On 'detect' the function picks binary or quantitative based on whether label_df is all binary or not, respectively.
- **chromosomes** (List[str]) List of chromosomes for which to generate offsets (optional). If not provided, the chromosomes will be inferred from the block matrix.

Return type DataFrame

Returns Pandas DataFrame containing offset values per chromosome. The rows are indexed by sample ID and chromosome; the columns are indexed by label. The column types are float64. The DataFrame is sorted using chromosome as the primary sort key, and sample ID as the secondary sort key.

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glow.wgr.get_sample_ids(data)

Extracts sample IDs from a variant DataFrame, such as one read from PLINK files.

Requires that the sample IDs:

- Are in genotype.sampleId
- Are the same across all the variant rows
- · Are a list of strings
- Are non-empty
- · Are unique

Parameters data (DataFrame) – The variant DataFrame containing sample IDs

```
Return type List[str]
```

Returns list of sample ID strings

```
glow.wgr.reshape_for_gwas(spark, label_df)
```

Reshapes a Pandas DataFrame into a Spark DataFrame with a convenient format for Glow's GWAS functions. This function can handle labels that are either per-sample or per-sample and per-contig, like those generated by GloWGR's transform_loco function.

Examples

```
>>> loco_label_df = pd.DataFrame({'label1': [1, 2], 'label2': [3, 4]},
... index=pd.MultiIndex.from_tuples([('sample1', 'chr1'), ('sample1', 'chr2')]))
>>> reshaped = reshape_for_gwas(spark, loco_label_df)
>>> reshaped.head()
Row(contigName='chr1', label='label1', values=[1])
```

Requires that:

• The input label DataFrame is indexed by sample id or by (sample id, contig name)

Parameters

- **spark** (SparkSession) A Spark session
- label_df (DataFrame) A pandas DataFrame containing labels. The Data Frame should either be indexed by sample id or multi indexed by (sample id, contig name). Each column is interpreted as a label.

Return type DataFrame

Returns A Spark DataFrame with a convenient format for Glow regression functions. Each row contains the label name, the contig name if provided in the input DataFrame, and an array containing the label value for each sample.

8.3.2 GWAS functions

```
glow.gwas.linear_regression(genotype_df, phenotype_df, covariate_df=Empty DataFrame Columns: [] Index: [], offset_df=Empty DataFrame Columns: [] Index: [], contigs=None, add_intercept=True, values_column='values', dt=<class 'numpy.float64'>, verbose output=False)
```

Uses linear regression to test for association between genotypes and one or more phenotypes. The implementation is a distributed version of the method used in regenie: https://www.nature.com/articles/s41588-021-00870-7

Implementation details:

On the driver node, we decompose the covariate matrix into an orthonormal basis and use it to project the covariates out of the phenotype matrix. The orthonormal basis and the phenotype residuals are broadcast as part of a Pandas UDF. In each Spark task, we project the covariates out of a block of genotypes and then compute the regression statistics for each phenotype, taking into account the distinct missingness patterns of each phenotype.

Examples

Parameters

- **genotype_df** (DataFrame) Spark DataFrame containing genomic data
- phenotype_df (DataFrame) Pandas DataFrame containing phenotypic data
- covariate_df (DataFrame) An optional Pandas DataFrame containing covariates
- **offset_df** (DataFrame) An optional Pandas DataFrame containing the phenotype offset, as output by GloWGR's RidgeRegression or Regenie step 1. The actual phenotype used for linear regression is the mean-centered, residualized and scaled phenotype_df minus the

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appropriate offset. The offset_df may have one or two levels of indexing. If one level, the index should be the same as the phenotype_df. If two levels, the level 0 index should be the same as the phenotype_df, and the level 1 index should be the contig name. The two level index scheme allows for per-contig offsets like LOCO predictions from GloWGR.

- **contigs** (Optional[List[str]]) When using LOCO offsets, this parameter indicates the contigs to analyze. You can use this parameter to limit the size of the broadcasted data, which may be necessary with large sample sizes. If this parameter is omitted, the contigs are inferred from the offset_df.
- add_intercept (bool) Whether or not to add an intercept column to the covariate DataFrame
- values_column (Union[str, Column]) A column name or column expression to test with
 linear regression. If a column name is provided, genotype_df should have a column with
 this name and a numeric array type. If a column expression is provided, the expression should
 return a numeric array type.
- **dt** (type) The numpy datatype to use in the linear regression test. Must be np.float32 or np.float64.
- **verbose_output** (bool) Whether or not to generate additional test statistics (n, sum_x, y_transpose_x) to the output DataFrame. These values are derived directly from phenotype_df and genotype_df, and does not reflect any standardization performed as part of the implementation of linear_regression.

Return type DataFrame

Returns

A Spark DataFrame that contains

- All columns from genotype_df except the values_column and the genotypes column if one exists
- effect: The effect size estimate for the genotype
- stderror: The estimated standard error of the effect
- tvalue: The T statistic
- pvalue: P value estimated from a two sided T-test
- phenotype: The phenotype name as determined by the column names of phenotype_df
- ``n``(int): (verbose_output only) number of samples with non-null phenotype
- "sum_x" (float): (verbose_output only) sum of genotype inputs
- "y_transpose_x" (float): (verbose_output only) dot product of phenotype response (missing values encoded a and genotype input, i.e. phenotype value * number of alternate alleles

```
glow.gwas.logistic_regression(genotype_df, phenotype_df, covariate_df=Empty DataFrame Columns: []

Index: [], offset_df=Empty DataFrame Columns: [] Index: [],

correction='approx-firth', pvalue_threshold=0.05, contigs=None,

add_intercept=True, values_column='values', dt=<class 'numpy.float64'>)
```

Uses logistic regression to test for association between genotypes and one or more binary phenotypes. This is a distributed version of the method from regenie: https://www.nature.com/articles/s41588-021-00870-7

Implementation details:

On the driver node, we fit a logistic regression model based on the covariates for each phenotype:

$$logit(y) \sim C$$

where y is a phenotype vector and C is the covariate matrix.

We compute the probability predictions \hat{y} and broadcast the residuals $(y - \hat{y})$, γ vectors (where $\gamma = \hat{y} * (1 - \hat{y})$), and $(C^{\mathsf{T}}\gamma C)^{-1}$ matrices. In each task, we then adjust the new genotypes based on the null fit, perform a score test as a fast scan for potentially significant variants, and then test variants with p-values below a threshold using a more selective, more expensive test.

Parameters

- **genotype_df** (DataFrame) Spark DataFrame containing genomic data
- phenotype_df (DataFrame) Pandas DataFrame containing phenotypic data
- covariate_df (DataFrame) An optional Pandas DataFrame containing covariates
- offset_df (DataFrame) An optional Pandas DataFrame containing the phenotype offset. This value will be used as an offset in the covariate only and per variant logistic regression models. The offset_df may have one or two levels of indexing. If one level, the index should be the same as the phenotype_df. If two levels, the level 0 index should be the same as the phenotype_df, and the level 1 index should be the contig name. The two level index scheme allows for per-contig offsets like LOCO predictions from GloWGR.
- **correction** (str) Which test to use for variants that meet a significance threshold for the score test. Supported methods are none and approx-firth.
- **pvalue_threshold** (float) Variants with a pvalue below this threshold will be tested using the correction method.
- **contigs** (Optional[List[str]]) When using LOCO offsets, this parameter indicates the contigs to analyze. You can use this parameter to limit the size of the broadcasted data, which may be necessary with large sample sizes. If this parameter is omitted, the contigs are inferred from the offset_df.
- add_intercept (bool) Whether or not to add an intercept column to the covariate DataFrame
- values_column (str) A column name or column expression to test with linear regression. If a column name is provided, genotype_df should have a column with this name and a numeric array type. If a column expression is provided, the expression should return a numeric array type.
- **dt** (type) The numpy datatype to use in the linear regression test. Must be np.float32 or np.float64.

Return type DataFrame

Returns

A Spark DataFrame that contains

- All columns from genotype_df except the values_column and the genotypes column if one exists
- effect: The effect size (if approximate Firth correction was applied)
- stderror: Standard error of the effect size (if approximate Firth correction was applied)
- correctionSucceeded: Whether the correction succeeded (if the correction test method is not none). True if succeeded, False if failed, null if correction was not applied.
- chisq: The chi squared test statistic according to the score test or the correction method
- pvalue: p-value estimated from the test statistic
- phenotype: The phenotype name as determined by the column names of phenotype_df

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8.4 Hail Interoperation Functions

Glow includes functionality to enable interoperation with Hail.

glow.hail.from_matrix_table(mt, include_sample_ids=True)

Converts a Hail MatrixTable to a Glow DataFrame. The variant fields are derived from the Hail MatrixTable row fields. The sample IDs are derived from the Hail MatrixTable column fields. All other genotype fields are derived from the Hail MatrixTable entry fields.

Requires that the MatrixTable rows contain locus and alleles fields.

Parameters

- mt (MatrixTable) The Hail MatrixTable to convert
- include_sample_ids (bool) If true (default), include sample IDs in the Glow DataFrame. Sample names increase the size of each row, both in memory and on storage.

Return type DataFrame

Returns Glow DataFrame converted from the MatrixTable.

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